



Case report

Flow phenomenon, a differential diagnosis in refractory hypoxaemia in patients with anterior mediastinal mass. Case report[☆]



Carlos Eduardo Laverde-Sabogal^{a,*}, Carmelo José Espinosa-Almanza^b

^a Intensive Care Unit, Hospital Universitario San Ignacio, Pontificia Universidad Javeriana, Bogotá D.C., Colombia

^b Intensive Care Unit, Medical School, Pontificia Universidad Javeriana, Bogotá D.C., Colombia

ARTICLE INFO

Article history:

Received 12 May 2016

Accepted 13 October 2016

Available online 9 December 2016

Keywords:

Foramen ovale, patent

Dyspnea

Mediastinal neoplasms

Anoxia

Airway management

ABSTRACT

Introduction: Refractory hypoxaemia is a critical, life-threatening condition if not resolved promptly. The flow phenomenon is implicated in its development.

Objectives: Clinical case presentation and non-systematic review of the literature on refractory hypoxaemia and flow phenomenon. Incidence, aetiology and pathophysiology are described.

Materials and methods: Clinical case presentation authorised by the Ethics Committee of our institution of a young patient who presented with progressive dyspnoea, reaching functional class IV/IV. A search of the literature was conducted in Pub Med, Scielo and Bireme.

Results: There is a growing interest in the physiological flow phenomenon leading to the development of refractory hypoxaemia in the absence of increased pressure in the cardiac cavities. Few reports are found.

Conclusions: Flow phenomenon-related refractory hypoxaemia must be suspected as an exclusion diagnosis in patients with a mediastinal mass. Prone ventilation is proposed as a bridging therapy in order to revert a life-threatening condition.

© 2016 Sociedad Colombiana de Anestesiología y Reanimación. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Fenómeno de flujo, diagnóstico diferencial de la hipoxemia refractaria en pacientes con masa mediastinal anterior. Reporte de caso

RESUMEN

Palabras clave:

Foramen ovale, permeable

Introducción: La hipoxemia refractaria es una condición crítica potencialmente mortal si no se corrige rápidamente. El fenómeno de flujo está implicado en su desarrollo.

* Please cite this article as: Laverde-Sabogal CE, Espinosa-Almanza CJ. Fenómeno de flujo, diagnóstico diferencial de la hipoxemia refractaria en pacientes con masa mediastinal anterior. Reporte de caso. Rev Colomb Anestesiol. 2017;45:66-70.

* Corresponding author at: Carrera 23, No. 118-32, Bogotá D.C., Colombia.

E-mail address: celaverde@husi.org.co (C.E. Laverde-Sabogal).

2256-2087/© 2016 Sociedad Colombiana de Anestesiología y Reanimación. Published by Elsevier España, S.L.U. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Disnea
Neoplasias del mediastino
Anoxia
Manejo de la vía aérea

Objetivos: Presentación de un caso clínico y revisión no sistemática de la literatura sobre hipoxemia refractaria y el fenómeno de flujo, describimos su incidencia, etiología y fisiopatología.

Material y métodos: Con autorización del comité de Ética de nuestra institución, presentamos un caso clínico de un paciente joven quien consultó por disnea progresiva hasta clase funcional IV/IV. La búsqueda bibliográfica se realizó en Pub Med, Scielo y Bireme.

Resultados: Se ha despertado un creciente interés en el fenómeno fisiológico de flujo que conlleva al desarrollo de hipoxemia refractaria en ausencia de aumento de presión en las cavidades cardíacas. Se encuentran escasos reportes.

Conclusiones: La hipoxemia refractaria por el fenómeno de flujo debe ser sospechada como diagnóstico de exclusión en pacientes con masa mediastinal. Proponemos la ventilación en prono como terapia puente para revertir una condición potencialmente mortal.

© 2016 Sociedad Colombiana de Anestesiología y Reanimación. Publicado por Elsevier España, S.L.U. Este es un artículo Open Access bajo la licencia CC BY-NC-ND (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Introduction

Hypoxaemia is characterised by a partial oxygen pressure in arterial blood lower than 60 mm Hg. Under normal conditions, the circulatory and respiratory systems work together to keep it within normal ranges. The absence of homeostasis in those systems to ensure normal oxygen content in arterial blood is known as hypoxic respiratory failure and is explained on the basis of five pathophysiological mechanisms, namely: inspired oxygen pressure reduction, development of alveolar hypoventilation, right-to-left shunting, alveolar-capillary exchange membrane diffusion abnormalities, and dead space development. Prompt identification of its aetiology is the best strategy for instituting effective treatment.¹⁻⁴

Case description

A 23-year old male patient, construction worker of mestizo ethnic origin considered otherwise previously healthy who presented with progressive dyspnoea that had lasted for 30 days, oppressive left chest pain not exacerbated by exercise and weight loss of approximately 2 kg over the previous three months. On physical examination, the patient was tachycardic but haemodynamically stable, with evidence of pericardial rub, hepatomegaly, splenomegaly and jugular engorgement grade II. The chest X-ray made in the emergency service revealed a very enlarged cardio-mediastinal silhouette. Echocardiography showed pericardial effusion with normal biventricular function, undilated cavities, no signs of tamponade, and intact septum. A suspected anterior mediastinal mass prompted an axial chest computed tomography scan which confirmed the presence of a 15 cm × 11 cm mass (see Fig. 1). Pericardiocentesis was performed with removal of 750 cc of blood, and an ultrasound-guided biopsy was performed. Later, the patient went into rapid clinical decline due to respiratory distress and hypoxaemia, leading to the suspicion of pneumothorax. Clinical confirmation was obtained from the general surgeon, and left thoracostomy was performed. The patient was then transferred to the intensive care unit (ICU) for haemodynamic monitoring. After initial stability, the patient exhibited signs of respiratory distress, hypoxaemia

and fever. The decision was made to initiate invasive ventilation support, awake intubation due to the risk of airway collapse from extrinsic compression, blood gases control in acid-base balance with moderate/severe oxygenation disorder. The use of vasopressors was also initiated. Tables 1 and 2 show the haemodynamic profile, blood gases and laboratory results, respectively.

The ventilation mode used was controlled-assisted with 50% fraction of inspired oxygen and a positive end-expiratory pressure (PEEP) of 8. There was initial improvement with a saturation greater than 90%. One hour later the patient went again into progressive hypoxaemia with no improvement despite FiO₂ titration at 100%. Blood gases showed a pH of 7.31, PaO₂ of 21 mm Hg, PaCO₂ of 71 mm Hg and base excess of less than 5, with a PaO₂/FiO₂ ratio of 23. On chest X-ray there was partial atelectasis of the upper lobe that did not explain the current picture. Lung recruitment with PEEP titration was tried with no improvement. A suspected acute pulmonary embolism prompted performance of an angio-CT which was not available at the time, but given the urgency, a pulmonary angiography was performed instead, and was normal. Bacteraemia was suspected considering the presence of fever and clinical decline, and antibiotic therapy was initiated.

In view of refractory hypoxaemia, and having tried all the ventilation resources described, with adequate sedation and thinking about a mechanical cause, the patient was put on prone ventilation. This resulted in almost immediate recovery of oxygenation, with the following blood gases: pH 7.29, PaO₂ 205 mm Hg, PaCO₂ 42 mm Hg and excess base of -6.9 with a PaO₂/FiO₂ ratio of 205. Severe hypoxaemia was estimated to have lasted 2 h. Later, hyperkalemia, hypercalcemia and hyperphosphatemia were documented, constituting a tumour lysis syndrome with progressive shock that did not respond to management, resulting in death due to multiple organ dysfunction. The final pathology results confirmed the presence of an adenocarcinoma of the thymus (see Fig. 2).

Discussion

Life-threatening severe hypoxaemia requires prompt differential diagnosis in order to institute effective, life-saving treatment.^{1,2} If pathophysiological causes are considered at

Table 1 – Haemodynamic profile, blood gases and vasopressor support.

Date	Time	BP, mm Hg	HR, bpm	FRRR	SO ₂ %	UO, ml/kg/h	T °C	pH	PCO ₂ , mm Hg	PO ₂ , mmHg	HTC ₃ , mmol/L	EB, mmol/L	PaO ₂ /FiO ₂	SVO ₂	NA, mcg kg ⁻¹ min ⁻¹	VA, UI h
Sept 29	9:15	105/65	131	18	92	1.9	38.7							–	–	
Sept 29	12:08	137/75	145	24	75	2.3	38.9	7.49	34	82	25.9	2.6	102	0.9	1	
Sept 29	15:45	137/45	145	20	45	2.3	38.0	7.13	71	21	23.6	-5.6	23	1.5	2	
Sept 29	17:40	100/60	110	20	100	1.0	37.9	7.29	42	205	20.6	-6.4	205	0.45	–	
Sept 30	3:31	100/60	120	20	90	0.2	36.0	7.18	37	73	13.8	-14.6	162	0.6	–	

BP, blood pressure; HR, heart rate; UO, urinary output; SO₂, arterial oxygen saturation; T, temperature; EB, excess base; SVO₂, venous oxygen saturation; NA, noradrenaline; VA, vasopressin; N/A, not available.

Source: authors.

Table 2 – Laboratory tests.

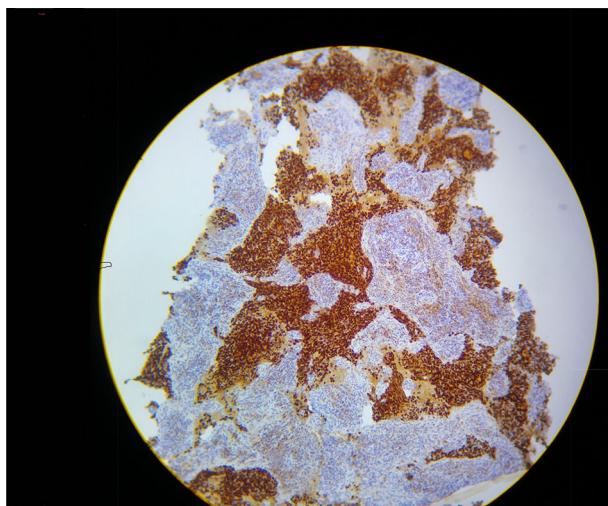
Date	PT	INR	Leuc	N%	L%	Plt	Hb, gr/dl	Hct, %	Cr, mg/dl	BUN, mg/dl	Bb T, mg/dl	Bb D, mg/dl	Bb I, mg/dl	Ca, mmol/Lt	Cl	K
Sept 22-23	17.3/11.2	1.6	11.900	83.7	7.1	444.100	11.8	37.1	0.75	7.6	0.44	0.09	0.35		107	4.9
Sept 29			19.4	92.7	3.26	427.300	11.8	36.2	0.72	6.6				7.5	101	4.1
Sept 30	31/0.9	3	20.8	89.1	6.5	326.100	12.1	38.7	1.7	20.3	1.3	0.7	0.58		106	6.3
Date	AST U/L	ALT U/L	Alkaline phosphatase				Na, mmol/L		Lactate, mmol/L		Phosphorus		Magnesium		Uric acid	
Sept 22-23	22	13	51				135		1.2		6.5		2.0		5.9	
Sept 29							132									
Sept 30							134		6.5							

PT, prothrombin time; INR, International Normalised Ratio; Leu, leukocytes; N, neutrophils; L, lymphocytes; Plt, platelets; Proc, procalcitonin; Hb, haemoglobin; Hct, hematocrit; Cr, creatinine; BUN, blood urea nitrogen; Bb, bilirubin; T, total; D, direct; I, indirect; AST, aspartate aminotransferase; ALT, alanine aminotransferase; Na, sodium; K, potassium; Cl, chlorine; Ca, calcium; P, phosphorus.

Source: authors.



Fig. 1 – Diagnostic imaging. The arrows point to the mediastinal mass. Source: authors.



**Fig. 2 – Histology of thymic carcinoma under cytokeratin staining.
Source: authors.**

first, including low oxygen barometric pressure and development of alveolar hypoventilation, they are not consistent with the clinical context described. The patient was in an external environment with adequate fraction of inspired oxygen (with no history of immersion or drowning). Moreover, it is not consistent with hypoventilation because the problem persisted even after starting mechanical ventilation with adequate minute volume as evidenced by normal initial PCO_2 values.^{3,4} (See Table 1.) There are another three pathophysiological causes to consider, namely, dead space, exchange membrane diffusion abnormalities, and right-to-left shunting. As part of the diagnostic approach, the chest X-ray found partial left upper lobe atelectasis, ruled out the presence of alveolar infiltrates and, given the patient's history and absence of fibrosis, exchange membrane diffusion abnormality is improbable.^{5,6} An acute dead space was considered but diseases that cause it are few, and in the context of the patient, given the history of a tumour in progression, the only related cause is pulmonary thromboembolism,^{7,8} which was ruled out by pulmonary angiography. The most probable exclusion diagnosis is right-to-left shunting, characterised physiologically

precisely by absence of response to treatment with elevated FiO_2 . But how could this patient have an acute shunt if the prior echocardiogram was normal? Since 2000, it has been described in the literature that high right heart pressures are not needed for a shunt to develop.^{9,10} In fact, the so called "flow phenomenon" has been described in which a persistent atrial Eustachian valve remnant may direct blood flow from the inferior vena cava preferentially towards the persistent foramen ovale. In the presence of physical conditions that change the relative spatial position of the heart in the mediastinum, "stretching" of the foramen ovale may occur, allowing for partial opening and giving rise to the flow phenomenon.^{11,12} The appearance of a pneumothorax, the placement of a thoracic tube and the generation of atelectasis were mechanical phenomena that probably contributed to the genesis of the phenomenon. This is also supported by the finding that the problem was solved only with changes in patient position; when placed in prone ventilation, hypoxaemia was reverted almost immediately, reaching a $\text{PaO}_2/\text{FiO}_2$ of 205.¹³⁻¹⁵ Therefore, this case is a report supporting the description of this flow phenomenon in the literature. We were unable to demonstrate the presence of a physical shunt, but we believe that the diagnostic approach is sufficiently broad as to consider it as an exclusion diagnosis. It is noteworthy that the simple therapeutic intervention of prone ventilation may improve the critical oxygenation disorder, and it is proposed as a live-saving bridging therapy before surgical management.^{16,17}

Conclusions

Flow phenomenon-related refractory hypoxaemia must be suspected as an exclusion diagnosis in patients with a mediastinal mass. Ventilation in prone position is proposed as a bridging therapy to revert a life-threatening condition.

Patient perspective

The patient's family reported to have received adequate medical attention.

Ethical responsibility

Protection of humans and animal subjects

The authors declare not having performed any experiments in humans or animals for this research.

Confidentiality of data. The authors declare having followed the protocols of their institutions regarding patient data disclosure.

Right to privacy and informed consent. The authors have obtained the informed consent of the patients and/or subjects to whom the paper refers. The corresponding author is in possession of this document.

Funding

The authors did not receive any form of sponsorship in preparing this paper.

Conflict of interest

The authors declare having no conflict of interest.

REFERENCES

1. Henig NR, Pierson DJ. Mechanisms of hypoxemia. *Respir Care Clin N Am.* 2000;6:501–21.
2. Rodríguez-Roisin R, Roca J. Mechanisms of hypoxemia. *Intensive Care Med.* 2005;31:1017–9.
3. Williams AJ. ABC of oxygen: assessing and interpreting arterial blood gases and acid-base balance. *BMJ.* 1998;317:1213–6.
4. Hansen JE. Arterial blood gases. *Clin Chest Med.* 1989;10:227–37.
5. Seeger W, Adir Y, Barberà JA, Champion H, Coghlán JG, Cottin V, et al. Pulmonary hypertension in chronic lung diseases. *J Am Coll Cardiol.* 2013;62 Suppl.:D109–16.
6. Mueller-Mang C, Grosse C, Schmid K, Stiebel Lehner L, Bankier AA. What every radiologist should know about idiopathic interstitial pneumonias. *Radiographics.* 2007;27:595–615.
7. Santolicandro A, Prediletto R, Fornai E, Formichi B, Begliomini E, Giannella-Neto A, et al. Mechanisms of hypoxemia and hypocapnia in pulmonary embolism. *Am J Respir Crit Care Med.* 1995;152:336–47.
8. Young IH, Bye PT. Gas exchange in disease: asthma, chronic obstructive pulmonary disease, cystic fibrosis, and interstitial lung disease. *Compr Physiol.* 2011;1:663–97.
9. Godart F, Rey C, Prat A, Vincentelli A, Chmaït A, Francart C, et al. Atrial right-to-left shunting causing severe hypoxaemia despite normal right-sided pressures. Report of 11 consecutive cases corrected by percutaneous closure. *Eur Heart J.* 2000;21:483–9.
10. Zanchetta M, Rigatelli G, Ho SY. A mystery featuring right-to-left shunting despite normal intracardiac pressure. *Chest.* 2005;128:998–1002.
11. Offin MD, Menachem J, Squillante C, Ky B, Vaughn D, Carver J. Association among hypoxemia, patent foramen ovale, and mediastinal germ cell tumor: a case report. *Ann Intern Med.* 2015;163:243–4.
12. Cowie B. Cardiovascular collapse and hypoxemia in a man with a right-sided mediastinal mass, undiagnosed atrial septal defect, and right-to-left shunt. *J Clin Anesth.* 2014;26:688–92.
13. Albert RK. Prone ventilation. *Clin Chest Med.* 2000;21:511–7.
14. Albert RK, Hubmayr RD. The prone position eliminates compression of the lungs by the heart. *Am J Respir Crit Care Med.* 2000;161:1660–5.
15. Santos CL, dos Santos Samary C, Fiorio Júnior PL, Lourenço Santos B, Schanaider A. Pulmonary recruitment in acute respiratory distress syndrome. What is the best strategy? *Rev Col Bras Cir.* 2015;42:125–9.
16. Mehta C, Mehta Y. Management of refractory hypoxemia. *Ann Card Anaesth.* 2016;19:89–96.
17. Takeda S, Miyoshi S, Omori K, Okumura M, Matsuda H. Surgical rescue for life-threatening hypoxemia caused by a mediastinal tumor. *Ann Thorac Surg.* 1999;68:2324–6.