

## A Priori Estimates of the Prescribed Scalar Curvature on the Sphere

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### Abstract

This paper considers the prescribed scalar curvature problem on the sphere for  $n \geq 3$ . Given a prescribed scalar curvature function  $K : S^n \rightarrow \mathbb{R}$  and a centered dilation defined by  $F_y = \Sigma^{-1} \circ D_\beta \circ \Sigma$ ,  $y \in B^{n+1}$ , where  $\Sigma$  is the stereographic projection and  $D_\beta$  is a dilation in  $\mathbb{R}^n$ , in this work we estimate the gradient of the function  $K$  near the critical point of the function  $\bar{J}_p(y) = \int_{S^n} K(\zeta) \phi^{p+1} d\sigma(\zeta)$  where  $\phi(y) = |(F_y^{-1})'|^{n-2}$ . We will use this estimate to find  $L^p$  estimates of the first two  $y$ -derivatives of the function  $K \circ F_y(\xi)$ .

**Keywords:** metrics, scalar curvature, conformal geometry.

### 1 Introduction

Let  $(S^n, \delta_{ij})$  be the unitary sphere with the standard metric. A natural question in Riemannian geometry is: Given a function  $K : S^n \rightarrow \mathbb{R}$ , is there a metric  $g$  conformally related to the standard metric  $\delta_{ij}$  such that  $K$  is the scalar curvature of  $S^n$  with respect to the metric  $g$ ? This is equivalent to the problem of finding a positive smooth function  $u : S^n \rightarrow \mathbb{R}$  which satisfies the equation:

$$\Delta u - \frac{n(n-2)}{4}u + \frac{n-2}{4(n-1)}Ku^{\frac{n+2}{n-2}} = 0. \quad (1)$$

If we set  $g = u^{\frac{4}{n-2}}\delta_{ij}$ , where  $u$  is a solution of this problem, then the function  $K$  is the scalar curvature of  $S^n$  with respect to the metric  $g$ .

The problem of conformal deformation of metrics in  $S^n$  have been extensively studied by many authors (for example, see [1, 2, 3, 4, 5, 6, 7, 8] and the references therein). An important feature of this problem is that it is a conformal invariant one. More precisely, if  $u$  is a solution of equation (1), then for any conformal map  $F : S^n \rightarrow S^n$  the function  $\alpha_F(u) = |(F^{-1})'|^{n-2}u \circ F^{-1}$  is a solution to problem (1) with scalar curvature  $K \circ F$ .

The problem of conformal deformation of metrics in  $S^n$  can be approached using the so called Yamabe method, which consists in studying first the subcritical problem in the equation (1):

$$\Delta u_p - \frac{n(n-2)}{4}u_p + \frac{n-2}{4(n-1)}Ku_p^p = 0, \tag{2}$$

with  $p \in \left(1, \frac{n+2}{n-2}\right)$  and then consider the limit of the solutions when  $p \uparrow \frac{n+2}{n-2}$ .

Let  $E(u)$  be the energy norm associated with the linear part of (2), that is:

$$E(u) = \int_{S^n} \left( \frac{n(n-2)}{4}u^2 + |\nabla u|^2 \right) d\sigma_g,$$

and let

$$\mathcal{S} = \{u \in H^1(S^n) \text{ with } u \geq 0 \text{ almost everywhere and with } E(u) = E(1)\}.$$

Let us consider the open unit ball  $B^{n+1}$  and the map  $\Phi : B^{n+1} \rightarrow \mathcal{S}$  defined by:

$$\Phi(y) = \alpha_y := \alpha_{F_y}(1) = |(F_y^{-1})'|^{n-2},$$

where  $F_y : S^n \rightarrow S^n$  is the restriction to  $S^n$  of a special conformal map  $F_y : \overline{B^{n+1}} \rightarrow \overline{B^{n+1}}$  that satisfies  $F_y(0) = y$  and fix the points  $\pm \frac{y}{|y|}$ ; this function maps 0 to  $y$  and commutes with rotations about the line joining the origin and the point  $y$ . This map is referred to as a centered dilation.

For  $p \in \left(1, \frac{n+2}{n-2}\right)$  and  $u \in \mathcal{S}$ , let  $J_p(u)$  defined by:

$$J_p(u) = \int_{S^n} Ku^{p+1} d\sigma.$$

If  $u$  is a critical point of  $J_p(\cdot)$  on  $\mathcal{S}$ , then a multiple of  $u$  satisfies problem (2). Let us define the function  $\bar{J}_p = J_p \circ \Phi$ .

This work is motivated by the work of Schoen and Zhang [7] on the prescribed scalar curvature problem on the  $n$ -dimensional sphere,  $n \geq 3$ , where they prove an existence result for  $n = 3$ .

To determine the nature of the critical points of  $J_p$ , they study the critical points of  $\bar{J}_p$  and then make a perturbation argument. In order to understand the nature of the critical points of  $\bar{J}_p$  near the border of  $B^{n+1}$ , they study the behavior of  $K$  near those critical points.

In this paper we will study more closely the behavior of the function  $K$  near the critical points of  $\bar{J}_p$ . Given a critical point  $y_0$  of  $\bar{J}_p$ , near  $S^n$ , in this work we

will find an estimate of the gradient of the function  $K$  at the point  $\frac{y_0}{|y_0|}$  and we will use this to find  $L^p$  estimates of the function  $f \circ F_y(\xi) = \left( K \circ F_y(\xi) - K \left( \frac{y}{|y|} \right) \right)$  and its first two  $y$ -derivatives. Our method to get the estimates parallels that of Escobar and Garcia ([3]) in the problem of prescribed mean curvature on the boundary of the ball. In a coming paper we will use the estimates found in this work to give an alternative proof for some of the results in [7].

## 2 Preliminaries

Let  $y \in B^{n+1}$ . Up to a rotation we will assume that  $y = (0, \dots, 0, y_{n+1})$ . In this case the centered dilation function  $F_y$  is given by  $F_y(x) = \Sigma^{-1} \circ D_\beta \circ \Sigma(x)$ , where the functions  $\Sigma$  and  $D_\beta$  are defined as follows. The function  $\Sigma : \overline{B^{n+1}} \setminus \{(0, 0, \dots, 0, -1)\} \rightarrow \overline{\mathbb{R}_+^{n+1}}$  is defined as  $\Sigma = T_{-2} \circ I_2 \circ T_1$  where  $T_a(x_1, \dots, x_{n+1}) = (x_1, \dots, x_n, x_{n+1} + a)$ , and  $I_R$  is the inversion map  $x \rightarrow \frac{R^2 x}{|x|^2}$ . Here  $\mathbb{R}_+^{n+1}$  denotes the upper half  $(n + 1)$ -dimensional Euclidean space.

Hence,

$$\Sigma(x) = \left( \frac{4\bar{x}}{|\bar{x}|^2 + (1 + x_{n+1})^2}, \frac{2(1 - |\bar{x}|^2 - x_{n+1}^2)}{|\bar{x}|^2 + (1 + x_{n+1})^2} \right),$$

where  $\bar{x} = (x_1, \dots, x_n)$  and  $x = (\bar{x}, x_{n+1})$ . Observe that if  $|x| = \sqrt{|\bar{x}|^2 + x_{n+1}^2} = 1$ , then

$$\Sigma(x) = \left( \frac{2\bar{x}}{1 + x_{n+1}}, 0 \right).$$

Thus the map  $\Sigma|_{S^n - \{(0,0,\dots,0,-1)\}}$  is the stereographic projection. The inverse function of  $\Sigma$  is:

$$\Sigma^{-1}(x) = \left( \frac{4\bar{x}}{|\bar{x}|^2 + (2 + x_{n+1})^2}, \frac{4 - |x|^2}{|\bar{x}|^2 + (2 + x_{n+1})^2} \right).$$

When  $x_{n+1} = 0$  we get

$$\Sigma^{-1}(\bar{x}, 0) = \left( \frac{4\bar{x}}{|\bar{x}|^2 + 4}, \frac{4 - |\bar{x}|^2}{|\bar{x}|^2 + 4} \right),$$

which is the inverse of the stereographic projection from the south pole of the sphere.

The function  $D_\beta : \overline{\mathbb{R}_+^{n+1}} \rightarrow \overline{\mathbb{R}_+^{n+1}}$  is defined by  $D_\beta(x) = \beta x$ , where  $\beta = \frac{1-|y|}{1+|y|}$ .

**Proposition 2.1.** *If  $F_y = \Sigma^{-1} \circ D_\beta \circ \Sigma$  then*

$$F_y(x) = B^{-1}(4\beta A\bar{x}, (A^2 - 4\beta^2|\bar{x}|^2 - \beta^2(1 - |x|^2)))$$

and  $F_y(0) = y$ , where  $\beta = \frac{1-|y|}{1+|y|}$ ,  $x = (x_1, \dots, x_{n+1})$ ,  $\bar{x} = (x_1, \dots, x_n)$ ,

$$A = |\bar{x}|^2 + (1 + x_{n+1})^2 \quad \text{and} \quad B = 4\beta^2|\bar{x}|^2 + [A + \beta(1 - |x|^2)]^2.$$

*Proof.* Since

$$D_\beta \circ \Sigma(x) = \left( \frac{4\beta\bar{x}}{|\bar{x}|^2 + (1 + x_{n+1})^2}, \frac{2\beta(1 - x_{n+1}^2 - |\bar{x}|^2)}{|\bar{x}|^2 + (1 + x_{n+1})^2} \right),$$

then

$$\begin{aligned} F_y(x) &= \Sigma^{-1} \left( \frac{4\beta\bar{x}}{|\bar{x}|^2 + (1 + x_{n+1})^2}, \frac{2\beta(1 - x_{n+1}^2 - |\bar{x}|^2)}{|\bar{x}|^2 + (1 + x_{n+1})^2} \right) \\ &= \Sigma^{-1}(4\beta A^{-1}\bar{x}, 2\beta A^{-1}(1 - x_{n+1}^2 - |\bar{x}|^2)) \\ &= B^{-1}(4\beta A\bar{x}, (A^2 - 4\beta^2|\bar{x}|^2 - \beta^2(1 - |x|^2))). \end{aligned}$$

Therefore

$$F_y(x) = B^{-1}(4\beta A\bar{x}, (A^2 - 4\beta^2|\bar{x}|^2 - \beta^2(1 - |x|^2))).$$

If  $x = 0$ , then  $A = 1$  and  $B = (1 + \beta)^2$ . Hence,

$$F_y(0) = [(1 + \beta)^{-2}](0, 1 - \beta^2) = \left( 0, \frac{1 - \beta^2}{(1 + \beta)^2} \right) = \left( 0, \frac{1 - \beta}{1 + \beta} \right) = (0, y_{n+1}) = y.$$

Since  $F_y(0) = y$  then  $\beta = \frac{1-|y|}{1+|y|}$  when  $y_{n+1} \geq 0$  and  $\beta = \frac{1+|y|}{1-|y|}$  when  $y_{n+1} \leq 0$ . For this paper we will use the convention that when  $\beta$  is large, we call it  $\lambda$  and when  $\beta$  is small we call it  $\mu$ . Observe that  $F_y^{-1} = F_{-y}$ . In order to get the  $L^p$  estimates of the derivatives of the function  $K \circ F_y$ , we need the estimates of the derivatives of the function  $F_y$ . If we rewrite the function  $F_y$  as

$$F_y(z) = \frac{(4\mu\bar{z}|z - s|^2, |z - s|^4 - 4\mu^2|z'|^2)}{|z - s|^4 + 4\mu^2|\bar{z}|^2},$$

where  $\bar{z} = (z_1, z_2, \dots, z_n)$ ,  $z = (\bar{z}, z_{n+1})$ , the calculations in [3] leads to

**Lemma 2.2.** For  $1 \leq i, j \leq n + 1$

$$\left| \frac{\partial F_y}{\partial y_i}(z) \right| \leq \frac{C}{\mu^r |z - s|^{1-r}}, \tag{3}$$

and

$$\left| \frac{\partial^2 F_y}{\partial y_j \partial y_i} \right| \leq \frac{C_1}{\mu^r |z - s|^{2-r}} + \frac{C_2}{\mu^r |z - s|^{1-r}},$$

where  $z \in S^n$ ,  $s = (\bar{0}, -1)$ ,  $\mu = \frac{1-|y|}{1+|y|}$  and  $0 \leq r \leq 1$ .

**Proposition 2.3.** For  $x \in S^n$ , we get

$$F_y^*(\delta_{ij})_x = \left( \frac{1 - |y|^2}{|y + x|^2} \right)^2 \delta_{ij},$$

where  $F_y^*(\delta_{ij})$  is the pullback of the metric  $\delta_{ij}$  induced by the function  $F_y$ .

*Proof.* Given  $x \in S^n$ , straightforward calculations show that

$$\Sigma^*(\delta_{ij})_x(e_i, e_j) = \left\langle \frac{\partial \Sigma}{\partial x_i}, \frac{\partial \Sigma}{\partial x_j} \right\rangle = \frac{4}{(1+x_{n+1})^2} \delta_{ij}, \quad D_\beta^*(\delta_{ij})_{\Sigma(x)}(e_i, e_j) = \beta^2 \delta_{ij},$$

and

$$(\Sigma^{-1})^*(\delta_{ij})_{\beta\Sigma(x)}(e_i, e_j) = \frac{16}{\left( \left| \frac{4\beta\bar{x}}{|\bar{x}|^2 + (1+x_{n+1})^2} \right|^2 + 4 \right)^2} \delta_{ij}.$$

Hence,

$$(\Sigma^{-1})^*(\delta_{ij})_{\beta\Sigma(x)}(e_i, e_j) = \frac{(1+x_{n+1})^2}{(\beta^2(1-x_{n+1}) + (1+x_{n+1}))^2} \delta_{ij}.$$

Since the metrics  $\Sigma^*(\delta_{ij})$ ,  $(\Sigma^{-1})^*$  and  $D_\beta^*$  are diagonal, then

$$F_y^*(\delta_{ij}) = \Sigma^*(\delta_{ij}) \cdot D_\beta^*(\delta_{ij}) \cdot \Sigma^{-1*}(\delta_{ij}).$$

Thus,

$$F_y^*(\delta_{ij})(e_i, e_j) = \frac{(1+x_{n+1})^2}{(\beta^2(1-x_{n+1}) + (1+x_{n+1}))^2} \cdot \frac{4}{(1+x_{n+1})^2} \cdot \beta^2 \delta_{ij}$$

hence,

$$F_y^*(\delta_{ij})(e_i, e_j) = \frac{4\beta^2}{(\beta^2(1-x_{n+1}) + (1+x_{n+1}))^2} \delta_{ij}.$$

If  $y_{n+1} \geq 0$ , then  $\beta = \frac{1-|y|}{1+|y|}$  where  $|y| = y_{n+1}$  and consequently

$$\begin{aligned} \beta^2(1-x_{n+1}) + (1+x_{n+1}) &= \left( \frac{1-|y|}{1+|y|} \right)^2 (1-x_{n+1}) + (1+x_{n+1}) \\ &= \frac{(1-|y|)^2(1-x_{n+1}) + (1+|y|)^2(1+x_{n+1})}{(1+|y|)^2} \\ &= \frac{2(1+|y|^2 + 2|y|x_{n+1})}{(1+|y|)^2} = \frac{2|y+x|^2}{(1+|y|)^2}. \end{aligned}$$

Then,

$$\begin{aligned} F_y^*(\delta_{ij})(e_i, e_j) &= \frac{4\beta^2}{(\beta^2(1-x_{n+1}) + (1+x_{n+1}))^2} \delta_{ij} = \frac{((1+|y|)^2)^2 \frac{(1-|y|)^2}{(1+|y|)^2}}{(|y+x|^2)^2} \delta_{ij} \\ &= \frac{(1-|y|^2)^2}{(|y+x|^2)^2} \delta_{ij} = \left( \frac{1-|y|^2}{|y+x|^2} \right)^2 \delta_{ij}. \end{aligned}$$

From now on, we will denote for  $|(F_y)'|(\zeta) = \frac{1-|y|^2}{|y+\zeta|^2}$ ,  $\zeta \in S^n$ , the linear stretch factor of the conformal transformation  $F_y$ .

**Proposition 2.4.** *In stereographic coordinates*

$$|y - \zeta|^2 = \frac{4(|\bar{x}|^2 + 4\mu^2)}{(|\bar{x}|^2 + 4)(1 + \mu^2)},$$

where  $\mu = \frac{1-|y|}{1+|y|}$ , and  $\zeta \in S^n$ .

*Proof.*

$$\begin{aligned} |y - \zeta|^2 &= |y|^2 - 2y \cdot \zeta + |\zeta|^2 = 1 - 2|y| \cdot \left( \frac{4 - |\bar{x}|^2}{|\bar{x}|^2 + 4} \right) + |y|^2 \\ &= \frac{(1 + |y|^2)(|\bar{x}|^2 + 4) - 2|y|(4 - |\bar{x}|^2)}{|\bar{x}|^2 + 4} \\ &= \frac{(1 + |y|^2)|\bar{x}|^2 + 4(1 - |y|)^2}{|\bar{x}|^2 + 4}, \end{aligned}$$

and therefore,

$$|y - \zeta|^2 = \frac{4(|\bar{x}|^2 + 4\mu^2)}{(|\bar{x}|^2 + 4)(1 + \mu^2)},$$

where in the last equality, we have used  $|y| = \frac{1-\mu}{1+\mu}$ .

## 2 Main Result

The main purpose of this work is to give the following estimate for the gradient of the prescribed scalar curvature function  $K$  near a critical point of the function  $\bar{J}_p$ .

**Theorem 3.1.** *Let  $y$  be a critical point of the function  $\bar{J}_p$  near  $S^n$ , then,*

$$\text{if } n = 3, \quad \left| \nabla K \left( \frac{y}{|y|} \right) \right| \leq C\mu^{1-w}$$

and

$$\text{if } n \geq 4, \quad \left| \nabla K \left( \frac{y}{|y|} \right) \right| \leq C\mu^{2-w},$$

where  $w$  is any small positive number less than one.

*Proof.* Let us take rectangular coordinates in  $\mathbb{R}^{n+1}$  such that  $y = (0, 0, \dots, |y|)$ , then  $|y|^{-1}y = (0, 0, \dots, |y|^{-1}|y|) = (0, 0, \dots, 1) = N$ . Since

$$\bar{J}_p(y) = \int_{S^n} K(\zeta) \left( \frac{1 - |y|^2}{|y - \zeta|^2} \right)^{\frac{n-2}{2}(p+1)} d\sigma(\zeta),$$

then,

$$\frac{\partial \bar{J}_p}{\partial y_j} = (2 - n)(p + 1) \int_{S^n} K(\zeta) \left( \frac{1 - |y|^2}{|y - \zeta|^2} \right)^{\frac{n-2}{2}(p+1)} \left[ \frac{y_j}{1 - |y|^2} + \frac{(y_j - \zeta_j)}{|y - \zeta|^2} \right] d\sigma(\zeta).$$

Evaluating at the critical point  $y$  we find that for  $j = 1, \dots, n$

$$0 = \frac{\partial \bar{J}_p}{\partial y_j}(y) = (2 - n)(p + 1) \int_{S^n} K(\zeta) \left( \frac{1 - |y|^2}{|y - \zeta|^2} \right)^{\frac{n-2}{2}(p+1)} \left( \frac{-\zeta_j}{|y - \zeta|^2} \right) d\sigma(\zeta)$$

and therefore,

$$\int_{S^n} K(\zeta) \left( \frac{1 - |y|^2}{|y - \zeta|^2} \right)^{\frac{n-2}{2}(p+1)} \left( \frac{\zeta_j}{|y - \zeta|^2} \right) d\sigma(\zeta) = 0.$$

The last integral also vanishes when  $K$  is a constant. Thus,

$$\int_{S^n} K(N) \left( \frac{1 - |y|^2}{|y - \zeta|^2} \right)^{\frac{n-2}{2}(p+1)} \left( \frac{\zeta_j}{|y - \zeta|^2} \right) d\sigma(\zeta) = 0.$$

Hence,

$$\int_{S^n} (K(\zeta) - K(N)) \left( \frac{1 - |y|^2}{|y - \zeta|^2} \right)^{\frac{n-2}{2}(p+1)} \left( \frac{\zeta_j}{|y - \zeta|^2} \right) d\sigma(\zeta) = 0.$$

This equality, in the stereographic coordinates is equivalent to:

$$\int_{\mathbb{R}^n} \frac{(K(x) - K(0))x_j}{4^{n+1-\frac{n-2}{2}\delta}} \left( \frac{(1 + \mu)^2(|x|^2 + 4)}{|x|^2 + 4\mu^2} \right)^{n+1-\frac{n-2}{2}\delta} \frac{2^n}{(4 + |x|^2)^n} dx = 0,$$

where  $\delta = \frac{n+2}{n-2} - p$  is a small positive number.

The transformation  $y = \mu x$  yields

$$\int_{\mathbb{R}^n} \frac{(K(\mu x) - K(0))x_j dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta-1}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}} = 0. \tag{4}$$

By Taylor's Theorem, there exists  $\delta_0 > 0$  small enough such that for  $|x| \leq \mu^{-1}\delta_0$  we have:

$$K(\mu x) - K(0) = \sum_i \frac{\partial K}{\partial x_i}(0)\mu x_i + \frac{1}{2} \sum_{i,k} \frac{\partial^2 K}{\partial x_i \partial x_k}(0)\mu^2 x_i x_k + O(\mu^3|x|^3).$$

It is easy to check that

$$\left| \int_{B_{\mu^{-1}\delta_0}(0)} \frac{x_j^2 dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta-1}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}} \right| \geq C,$$

and therefore

$$\left| \int_{B_{\mu^{-1}\delta_0}(0)} \frac{\sum_i \frac{\partial K}{\partial x_i}(0)x_i x_j dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta-1}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}} \right| \geq C\mu \left| \frac{\partial K}{\partial x_j}(0) \right|.$$

On the other hand,

$$\frac{1}{2}\mu^2 \int_{B_{\mu^{-1}\delta_0}(0)} \frac{\sum_{i,k} \frac{\partial^2 K}{\partial x_i \partial x_k}(0)x_i x_k x_j dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta-1}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}} = 0$$

because of the symmetries of the ball, and a straightforward calculation yields:

$$O\left(\mu^3 \int_{B_{\mu^{-1}\delta_0}(0)} \frac{|x|^3 x_j dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta-1}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}}\right) \leq C\mu^{3-w},$$

where  $w$  is a small positive number, and

$$\begin{aligned} & \left| \int_{\mathbb{R}^n \setminus B_{\mu^{-1}\delta_0}(0)} \frac{(K(\mu x) - K(0))x_j dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta-1}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}} \right| \\ & \leq C \int_{\mathbb{R}^n \setminus B_{\mu^{-1}\delta_0}(0)} \frac{|x|(4 + \mu^2|x|^2)dx}{(\mu^2|x|^2 + 4)^{\frac{n-2}{2}\delta}(|x|^2 + 4)^{n+1-\frac{n-2}{2}\delta}} \leq C\mu^{n-1-(n-2)\delta}. \end{aligned}$$

The last inequalities and equality (4) imply:

$$C\mu \left| \frac{\partial K}{\partial x_j}(0) \right| \leq C\mu^{3-w} + C\mu^{n-1-(n-2)\delta}.$$

Then if  $n = 3$

$$\left| \frac{\partial K}{\partial x_j}(0) \right| \leq C\mu^{2-w} + C\mu^{1-\delta} \leq C\mu^{1-w},$$

and if  $n \geq 4$ ,

$$\left| \frac{\partial K}{\partial x_j}(0) \right| \leq C\mu^{2-w}.$$

In the following propositions, we will use this estimate to find some estimates on the function  $K(F_y((\xi))) - K\left(\frac{y}{|y|}\right)$  and the first  $y$ - derivatives of the function  $K \circ F_y$ .

**Lemma 3.2.** *Let  $y$  be a critical point of  $\bar{J}_p$  near  $S^n$  and let  $f = K - K\left(\frac{y}{|y|}\right)$ . If  $1 \leq q < n$ , then,  $\|f \circ F_y\|_{0,q} \leq C\mu^{2-w}$  for some  $0 < w < 1$ .*



*Proof.* Taylor's Theorem yields:

$$\begin{aligned} |f \circ F_y(\xi)| &= \left| K(F_y(\xi)) - K\left(\frac{y}{|y|}\right) \right| \\ &\leq C_1 \left| \nabla K\left(\frac{y}{|y|}\right) \right| \left| F_y(\xi) - \frac{y}{|y|} \right| + C_2 \left| F_y(\xi) - \frac{y}{|y|} \right|^2, \end{aligned}$$

in a geodesic ball of radius  $r$  and center  $\frac{y}{|y|}$ . Here  $C_1$  and  $C_2$  denote positive constants.

Then,

$$|f \circ F_y(\xi)|^q \leq C_1 \left| \nabla K\left(\frac{y}{|y|}\right) \right|^q \left| F_y(\xi) - \frac{y}{|y|} \right|^q + C_2 \left| F_y(\xi) - \frac{y}{|y|} \right|^{2q}.$$

Since

$$\|f \circ F_y\|_{0,q}^q = \int_{S^n} |f \circ F_y(\xi)|^q d\sigma_g = \int_V |f \circ F_y(\xi)|^q d\sigma_g + \int_{S^n \setminus V} |f \circ F_y(\xi)|^q d\sigma_g,$$

then it follows that

$$\begin{aligned} \left( \int_{S^n} |f \circ F_y(\xi)|^q d\sigma_g \right)^{1/q} &= \left( \int_V |f \circ F_y(\xi)|^q d\sigma_g + \int_{S^n \setminus V} |f \circ F_y(\xi)|^q d\sigma_g \right)^{1/q} \\ &\leq 2^q \left( \int_V |f \circ F_y(\xi)|^q d\sigma_g \right)^{1/q} \\ &\quad + 2^q \left( \int_{S^n \setminus V} |f \circ F_y(\xi)|^q d\sigma_g \right)^{1/q}. \end{aligned}$$

On the one hand,

$$\begin{aligned} \left( \int_V |f \circ F_y(\xi)|^q d\sigma_g \right)^{1/q} &\leq C_1 \mu^{1-w} \left( \int_V \left| F_y(\xi) - \frac{y}{|y|} \right|^q d\sigma_g \right)^{1/q} \\ &\quad + C_2 \left( \int_V \left| F_y(\xi) - \frac{y}{|y|} \right|^{2q} d\sigma_g \right)^{1/q}. \end{aligned}$$

By Holder's inequality

$$\left( \int_V \left| F_y(\xi) - \frac{y}{|y|} \right|^q d\sigma_g \right)^{1/q} \leq C \left( \int_V \left| F_y(\xi) - \frac{y}{|y|} \right|^{2q} d\sigma_g \right)^{1/2q}.$$

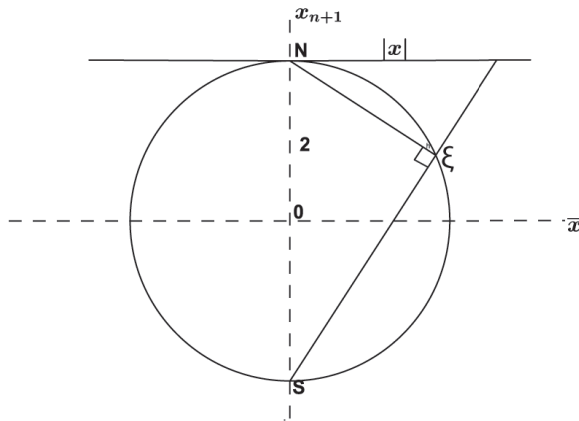
Then,

$$\left(\int_V |f \circ F_y(\xi)|^q\right)^{1/q} \leq M_1 \mu^{1-w} \left[ \left(\int_V \left|F_y(\xi) - \frac{y}{|y|}\right|^{2q} d\sigma_g\right)^{1/q} \right]^{1/2} + M_2 \left(\int_V \left|F_y(\xi) - \frac{y}{|y|}\right|^{2q} d\sigma_g\right)^{1/q}$$

Assume that  $\frac{y}{|y|}$  is the north pole  $N$ . Since  $F_y(N) = N$ , in stereographic coordinates  $|F_y(\xi) - N| = \frac{4^q |\mu x|^{2q}}{(4 + |\mu x|^2)^q}$  (see figure below). Therefore, the second integral on the right hand is equivalent in stereographic coordinates to:

$$\int_{B_{R_1}} \frac{4^q |\mu x|^{2q}}{(4 + |\mu x|^2)^q} \frac{2^n dx}{(4 + |x|^2)^n},$$

where  $B_{R_1}$  is the image of the geodesic ball  $V$  under the stereographic projection.



Hence,

$$\int_V |F_y(\xi) - N|^{2q} d\sigma_g \leq C \int_{B_{R_1}} \mu^{2q} \left(\frac{|x|^2}{4 + |x|^2}\right)^q dx \leq C \mu^{2q}.$$

Consequently,

$$\left(\int_V |f \circ F_y(\xi)|^q\right)^{1/q} \leq C \mu^{2-w}.$$

On the other hand

$$\begin{aligned} \int_{S^n \setminus V} |f \circ F_y(\xi)|^q d\sigma_g &= \int_{F_y(S^n \setminus V)} |f(\zeta)|^q |(F_y^{-1})'|^n d\sigma_g(\zeta) \\ &\leq C \int_{\mathbb{R}^n \setminus B_{R_1}} \frac{\lambda^n}{(1 + \lambda^2 |x|^2)^n} dx, \end{aligned}$$

where  $\lambda = \frac{1+|y|}{1-|y|} = \mu^{-1}$ . Therefore,

$$\begin{aligned} \int_{\mathbb{R}^n \setminus B_{R_1}} \frac{\lambda^n}{(1 + \lambda^2|x|^2)^n} dx &= \lambda^n \int_{R_1}^\infty \frac{r^{n-1} dr}{(1 + \lambda^2 r^2)^n} \\ &\leq C \lambda^n \int_{R_1}^\infty \frac{r^{n-1} dr}{\lambda^{2n} r^{2n}} \\ &\leq C \lambda^{-n} \int_{R_1}^\infty r^{-n-1} dr. \end{aligned}$$

Thus,

$$\int_{\mathbb{R}^n \setminus B_{R_1}} \frac{\lambda^n}{(1 + \lambda^2|x|^2)^n} dx \leq C \lambda^{-n} = C \mu^n,$$

and

$$\left( \int_{S^n \setminus V} |f \circ F_y(\xi)|^q d\sigma_g \right)^{1/q} \leq C \mu^{n/q} = C \mu^{2-\gamma}.$$

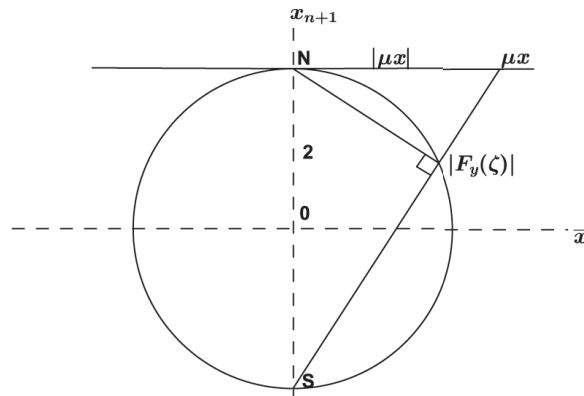
It follows that:

$$\|f \circ F_y(\xi)\|_{0,q} \leq C \mu^{2-w'}.$$

**Proposition 3.3.** *Let  $y_0$  be a critical point of  $\bar{J}_p$  near  $S^n$ , and let a small ball  $B_{y_0} \subseteq B^{n+1}$ , if  $y \in B_{y_0}$  and  $q \in (\frac{n}{2}, n)$  then,  $\|\nabla_y(K \circ F_y)\|_{0,q} \leq C \mu^{1-w^*}$ , where  $\mu = \frac{1-|y_0|}{1+|y_0|}$  and  $w^*$  is a positive number less than one.*

*Proof.* Taking  $r = 0$  in the last lemma, we get

$$\begin{aligned} I &= \int_{S^n} |\nabla_y(K \circ F_y)|^q d\sigma \leq \int_{S^n} |\nabla K(F_y)|^q |\nabla_y F_y|^q d\sigma \\ &\leq C \int_{S^n} \frac{|\nabla K(F_y)|^q}{|z - s|^q} d\sigma(z), \end{aligned}$$



Using in the above figure similarity of triangles, we find that  $|z - s| = \frac{4}{\sqrt{4 + |x|^2}}$ . Consequently, the integral in the right is equivalent in stereographic coordinates to

$$\int_{\mathbb{R}^n} \frac{(4 + |x|^2)^{q/2} |\nabla K(\mu x)|^q}{4^q} \frac{2^n}{(4 + |x|^2)^n} dx.$$

By Taylor's Theorem there exists  $D > 0$  such that if  $|x| \leq R = D\mu^{-1}$ , then, we have

$$|\nabla K(\mu x)|^q \leq C|\nabla K(0)|^q + C|\mu x|^q.$$

Therefore,

$$I \leq C \left( \int_{B_R(0)} \frac{|\nabla K(0)|^q + |\mu x|^q}{(4 + |x|^2)^{n-q/2}} dx + \int_{\mathbb{R}^{n-1} \setminus B_R(0)} \frac{dx}{(4 + |x|^2)^{n-q/2}} \right).$$

On the one hand, taking  $\alpha$  such that  $n - \alpha$  is a very small positive number and using  $q < n$  we get:

$$\begin{aligned} \int_{B_R(0)} \frac{|\nabla K(0)|^q}{(4 + |x|^2)^{n-q/2}} dx &\leq C\mu^{q(1-w)} \int_{B_R(0)} \frac{dx}{(4 + |x|^2)^{n-q/2}} \\ &\leq C\mu^{q(1-w)} \int_0^{D\mu^{-1}} r^{n-1-\alpha} \left( \frac{(r^2)^{\alpha/2}}{(4 + r^2)^{n-q/2}} \right) dr \\ &\leq C\mu^{q-w_0} \end{aligned}$$

where  $w_0 = -wq + \alpha - n$ . It's easy check

$$\int_{B_R(0)} \frac{2^n(4 + |x|^2)^{q/2} |\mu x|^q}{4^q(4 + |x|^2)^n} dx \leq C\mu^q \int_0^{D\mu^{-1}} r^{-n-1+2q} dr \leq \frac{C}{2q - n} \mu^{n-q}.$$

On the other hand,

$$\begin{aligned} \int_{\mathbb{R}^{n-1} \setminus B_R(0)} \frac{dx}{(4 + |x|^2)^{n-q/2}} &\leq \lim_{b \rightarrow \infty} \int_{C_{\mu^{-1}}}^b \frac{dx}{(4 + |x|^2)^{n-q/2}} \\ &\leq \lim_{b \rightarrow \infty} \int_{C_{\mu^{-1}}}^b \frac{r^{n-1} dr}{r^{2(n-q/2)}} = \lim_{b \rightarrow \infty} \int_{C_{\mu^{-1}}}^b r^{-1-n+q} dr \\ &\leq \frac{C}{n - q} \mu^{n-q}. \end{aligned}$$

Letting  $w^* = \min\{\frac{w_0}{q}, 1 - \frac{n-q}{q}\}$ , the estimate follows from the above inequalities.

**Proposition 3.4.** *If  $q < n$  and  $1 - \frac{n}{2q} < r < \frac{1}{2}$ , then the following estimate holds:*

$$\|\nabla_y \nabla_y (K \circ F_y)\|_{0,q} \leq C\mu^{-2r}.$$

*Proof.* By the previous estimates of  $\|\nabla_y (K \circ F_y)\|$  and  $\|\nabla_y \nabla_y (K \circ F_y)\|$ , we get

$$\begin{aligned} |\nabla_y \nabla_y (K \circ F_y)|^q &\leq C(|\nabla_y F_y|^2 + |\nabla_y \nabla_y F_y|)^q \\ &\leq \left[ \left( \frac{C_1}{\mu^r |z - s|^{1-r}} \right)^2 + \left( \frac{C_2}{\mu^r |z - s|^{1-r}} \right) \right]^q. \end{aligned}$$

Using Holder’s inequality, to get the desired estimate is enough to estimate the integral

$$\int_{R^n} \frac{d\sigma(z)}{\mu^{2rq} |z - s|^{(2-2r)q}} = \frac{C}{\mu^{2rq}} \int_{R^n} \frac{(4 + |x|^2)^{(1-r)q}}{(4 + |x|^2)^n} dx.$$

But

$$\int_{R^n} \frac{(4 + |x|^2)^{(1-r)q}}{(4 + |x|^2)^n} dx = \int_{B_1(0)} \frac{(4 + |x|^2)^{(1-r)q}}{(4 + |x|^2)^n} dx + \int_{R^n \setminus B_1(0)} \frac{(4 + |x|^2)^{(1-r)q}}{(4 + |x|^2)^n} dx.$$

Let us estimate the first integral in the right side

$$\int_{B_1(0)} \frac{(4 + |x|^2)^{(1-r)q}}{(4 + |x|^2)^n} dx = C \int_0^1 \frac{t^{n-1}}{(4 + t^2)^{n-(1-r)q}} dt = C \int_0^1 \frac{t^{n-1}}{(4 + t^2)^{n+(r-1)q}} dt.$$

Since  $q < n$  and  $1 - \frac{n}{2q} < r$  taking  $\nu > 0$  such that  $0 < n - \nu < 1$ , then we have:

$$\int_0^1 \frac{t^{n-1-\nu} (t^2)^{\nu/2}}{(4 + t^2)^{n+(r-1)q}} dt \leq C \int_0^1 t^{n-\nu-1} dt = \frac{C}{n - \nu},$$

where we have used that  $\frac{\nu}{2} < \frac{n}{2} < n - (1 - r)q$ .

On the other hand,

$$\int_{R^n \setminus B_1(0)} \frac{(4 + |x|^2)^{(1-r)q}}{(4 + |x|^2)^n} dx = C \int_1^\infty \frac{t^{n-1}}{(4 + t^2)^{n+(r-1)q}} dt = \frac{C}{2(r - 1)q + n}$$

Consequently,

$$\left( \int_{S^n} |\nabla_y \nabla_y (K \circ F_y)|^q d\sigma(z) \right)^{1/q} \leq C\mu^{-2r}.$$

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