



Over-voltage protection for grid-connected pico-hydro generation using photovoltaic inverters

Protección contra sobretensión para la generación pico-hídrica conectada a la red mediante inversores fotovoltaicos

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ABSTRACT: Very small-scale hydropower plants are environmentally friendly and renewable resource-based innovative solutions. The interest in pico-hydro systems (up to 5 kW) has increased significantly from the first stand-alone applications, at remote sites, to the distributed generation, with the injection of generated energy into the grid. Recently, there have been advances in the grid connection of these systems using off-the-shelf components, namely photovoltaic inverters. Therefore, pico-hydro systems have gained an enormous potential in distributed generation, particularly in the context of microgrids. However, in situations of over-power, or whenever the generator is under no load, e.g. when the grid fails, there is a need for effective over-voltage protection, as in small wind turbines. This paper proposes two over-voltage protection circuits, designed to ensure the integration of pico-hydro turbines connected to the grid using conventional photovoltaic microinverters and string inverters, for power ranges of 300 W and some kW, respectively. Extensive tests were performed on an emulation platform and a workbench using these two different over-voltage protection circuits. One is designed to connect the generators of water wheels to the grid and the other to connect 1,500 W generators of water turbines. The experimental results demonstrated the performance of the proposed over-voltage protection circuits in four different situations. Both avoid irreversible damages of generators, photovoltaic microinverters and string inverters in the context of the above-described grid connection approach.

RESUMEN: Las centrales hidráulicas, a muy pequeña escala, son soluciones innovadoras basadas en recursos renovables y respetuosas con el medio ambiente. El interés en los sistemas pico- hidráulicos (hasta 5 kW) ha aumentado significativamente desde las primeras aplicaciones independientes en sitios remotos, hasta la generación distribuida, con inyección de energía en la red. Recientemente, han surgido avances en la conexión a la red, utilizando componentes estándar como los inversores fotovoltaicos. Sin embargo, en situaciones de exceso de potencia, o cuando el generador no tiene carga, por ejemplo, cuando falla la red, existe la necesidad de protección frente a la sobretensión. Este artículo propone dos circuitos de protección frente a la sobretensión, diseñados para conectar turbinas pico-hidráulicas a la red, utilizando microinversores fotovoltaicos e inversores de cadena, para rangos de potencia de 300 W y algunos kW, respectivamente. Uno de ellos está diseñado para conectar los generadores de ruedas hidráulicas a la red y el otro para conectar generadores de turbinas hidráulicas de 1.500 W. Se realizaron extensas pruebas en una plataforma de emulación y en un banco de trabajo utilizando estos dos circuitos de protección contra sobretensión. Los resultados experimentales demostraron el rendimiento de los circuitos de protección, respecto a cuatro situaciones diferentes. Además, ambos evitan daños irreversibles en los generadores y microinversores e inversores de cadena, dentro del contexto del enfoque de conexión a la red descrito anteriormente.

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1. Introduction

Today, the growing need for energy from renewable resources is undeniable as a consequence of the increase

in energy consumption. Moreover, many countries made environmental commitments to reduce greenhouse gases [1]. The new technologies to be developed for micro-generation based on green energy allow the creation of solutions that currently facilitate the electrification in developing countries [2], as well as promoting self-sustaining, growing systems in developed countries [3, 4]. Distributed generation (DG), through different renewable resource plants, even of low power, may contribute significantly to the increase in sustainability at the local and global level [5, 6]. According to [7], small hydropower plants can be considered one of the best methods for producing renewable energy, as long they are based on cheap, reliable, mature technologies and do not cause significant environmental changes where installed. Small hydro power plants up to 5 kW are classified as pico-hydro systems [8] and have potential in meeting growing energy demand, once they allow widespread exploitation of small rivers, shallow water reservoirs, and wastewater [7, 9].

Recent studies have demonstrated the viability of the integration of low power wind generators with photovoltaic (PV) inverters in grid connected pico-hydro applications [10]. PV inverters are a mature technology widely available on the market. Their combination with a permanent magnet synchronous generator (PMSG) is an alternative for energy generation. Although PV inverters have been created to operate with PV modules, a PMSG and a bridge rectifier can be used as DC source, instead of those modules [10, 11].

In the case of large hydro plants, in order to provide a stable voltage output, mechanical devices are generally used for water flow adjustments. Afterwards, the rotation of the turbine is controlled in order to reduce voltage and frequency deviation. Hydraulic dynamics, with the seasonal variations of water flow, influence these parameters in a generation. The energy production efficiency is improved with turbines or water wheels performing at variable speed. Therefore, the characteristics of the generators and inverters require to be integrated. However, to prevent the damage of the generator and the PV inverter against too high speeds and input voltages, respectively, an over-voltage protection circuit is required. These situations can happen in case of over-power, or whenever the generator is under no load, e.g. during grid synchronization or when the grid fails [11].

A previous work [12] proposed an over-voltage protection circuit for pico-hydro generation using PV microinverters. This paper extends the previous results and presents two simple and low-cost over-voltage protection circuits, designed to ensure the integration of pico-hydro turbines connected to the grid using conventional photovoltaic

microinverters as in [12], and string inverters, for power ranges of 300 W and some kW, respectively. In both cases, they limit the rectified DC voltage of the generator by dissipating the energy in a power resistor or by short-circuiting the generator if over-power generation is detected. The reliability of the designed circuits is demonstrated with numerous tests carried out on a laboratory workbench and an experimental platform. The connection of low power (300 W and 1,500 W) PMSGs to the electrical grid through PV inverters (respectively microinverters and string inverters) is also demonstrated.

2. Over-voltage protection

2.1 Integration of generator and PV inverter

PV inverters, up to 5 kW, including microinverters and string inverters, are widely diffused, have a competitive cost and are very widespread. There is also a significant set of manufacturers that provide a wide offer of generators, for that power range, namely for small wind turbines. Although the compatibility between PMSGs and PV inverters is not always guaranteed, their integration is possible by combining both safe operating areas, shown in Figure 1.

Four parameters establish the operating limits in which the inverter can operate: the minimum input DC voltage, V_{DCmin} , and V_{DCmax} , I_{DCmax} and P_{DCmax} , which are the maximum voltage, current and power, respectively. In Figure 2, the operating areas of a PV inverter and a generator are overlapped. The green lines represent the current-voltage characteristics of a generator after AC to DC conversion, when it operates with constant speed. The brown area marks the safe operating area of the PV inverter [10].

To ensure the generator will work in the safe operating area of the inverter, certain conditions must be guaranteed. First, the no-load DC voltage of the generator, or the one imposed by the protection circuit, must be greater than the voltage $V_{PVstart}$ which enables the inverter to

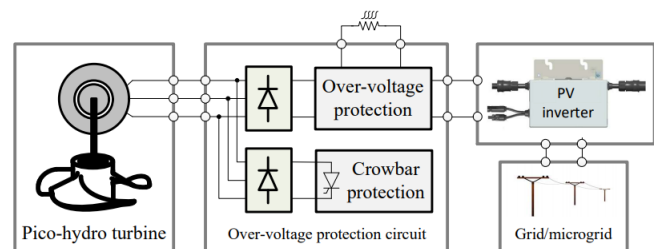


Figure 1 Design topology for grid-connected pico-hydro systems using PV inverters

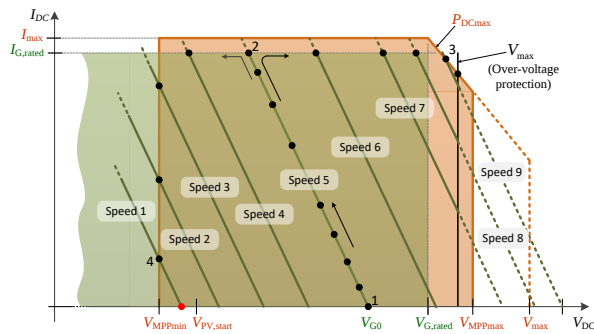


Figure 2 Overlapping of the operating areas of PV inverter and generator

start operating. Also, the nominal power of the generator should be in the range of $0.4 P_{DCmax}$ to $1.0 P_{DCmax}$ of the inverter and the output DC voltage of the generator must be within the input voltage range of the inverter, thus less than V_{DCmax} . Finally, the rated current of the generator must be equal to or less than I_{DCmax} . Moreover, a current greater than P_{DCmax}/V_{DCmax} is recommended to ensure that the inverter will be able to process the available power without overloading the generator [11].

An over-voltage protection circuit is required to ensure the operation below the upper limits V_{DCmax} and P_{DCmax} allowed at the PV inverter input. Another important feature in PV inverters is their maximum power point tracking (MPPT) algorithm, which is the selection of a point of operation where the current and voltage pair allows the process of maximum power available from the connected power source. Unlike PV modules, generators have their maximum power point when their current approaches their rated value $I_{GDCrated}$ [11].

Taking into account all of the conditions above and also the MPPT algorithm, the green lines in the graph show the behavior of the inverter for different speeds of a generator. At "Speed 5", for example, the point at which the generator is operating guarantees that the inverter will turn on, that the MPPT will start at point 1 and it will increase to the maximum current at point 2. If the speed and, consequently, the power increase to "Speed 9", the operating point is defined by point 3 and does not exceed this value, because at that point the protection comes into action and the excess energy is dissipated in the auxiliary resistor. In contrast, if the power and speed decrease to "Speed 1", the inverter operates at point 4, where there is the minimum voltage for which it can operate [11].

2.2 Over-voltage protection circuits

This section presents two over-voltage protection circuits to limit the speed and, consequently, the DC output voltage of the generator. This is done by dissipating the energy in a power resistor or by short-circuiting the generator if the power is too high. The protection circuit is fundamental to ensure that the inverter will not be damaged whenever the generator runs at no load. This can occur due to:

- Low power demand or high power delivered by the generator;
- Grid failure (e.g. due to frequency or voltage outside the limits) that turns off the inverter;
- The time required by the inverter for synchronization with the grid.

The first circuit (Circuit 1), whose schematic can be seen in Figure 3, will be used with generators up to 300 W and PV microinverters for applications with water wheels. The second (Circuit 2), which has its schematic represented in Figure 4, will be connected to 1.5 kW generators and PV string inverters, for applications with propeller, turgo and pelton turbines. Both are based on a step-down converter with a power MOSFET/IGBT and a free-wheeling diode to dissipate the energy in a power resistor. The control is performed by Pulse-Width-Modulation (PWM) using the PWM controller TL494[1]. A voltage divider (at pin 1), with a 4k7 resistor and a 10k potentiometer, sets the threshold voltage for which the TL494[1] starts the generation of pulses. Another voltage divider (at pin 2) is used to measure the DC output voltage of the generator. The deviation between these two inputs is amplified by one of the two error amplifiers of the TL494. The error controls the generated duty-cycle. The resistor and capacitor connected to pins 5 and 6, respectively, set the switching frequency at approximately 4.54 kHz.

The line-to-line voltage of the generators used with Circuit 2 will be high (up to 400V), compared to the generators tested with Circuit 1 and can reach DC voltage values after rectification. This high DC voltage (up to 500 V) does not allow direct use of the protection Circuit 1. The main differences of Circuit 2 are as follows: an IGBT is used instead of a MOSFET, two series connected capacitors are used after the bridge rectifier, and isolation is provided by optocouplers and an auxiliary transformer. The goal of this 50 Hz, 400:55 V transformer is threefold: first, to provide isolation; second, to supply power to the Circuit 2; and third to provide an indirect measure of the DC link voltage, thus avoiding an additional voltage sensor.

In order to respect the V_{DCmax} of the microinverters (string inverters) used in this work, when Circuit 1 (Circuit 2) reaches a DC voltage value of 45 V (350 V) on the DC bus, the TL494[1] of Circuit 1 (Circuit 2) starts generating

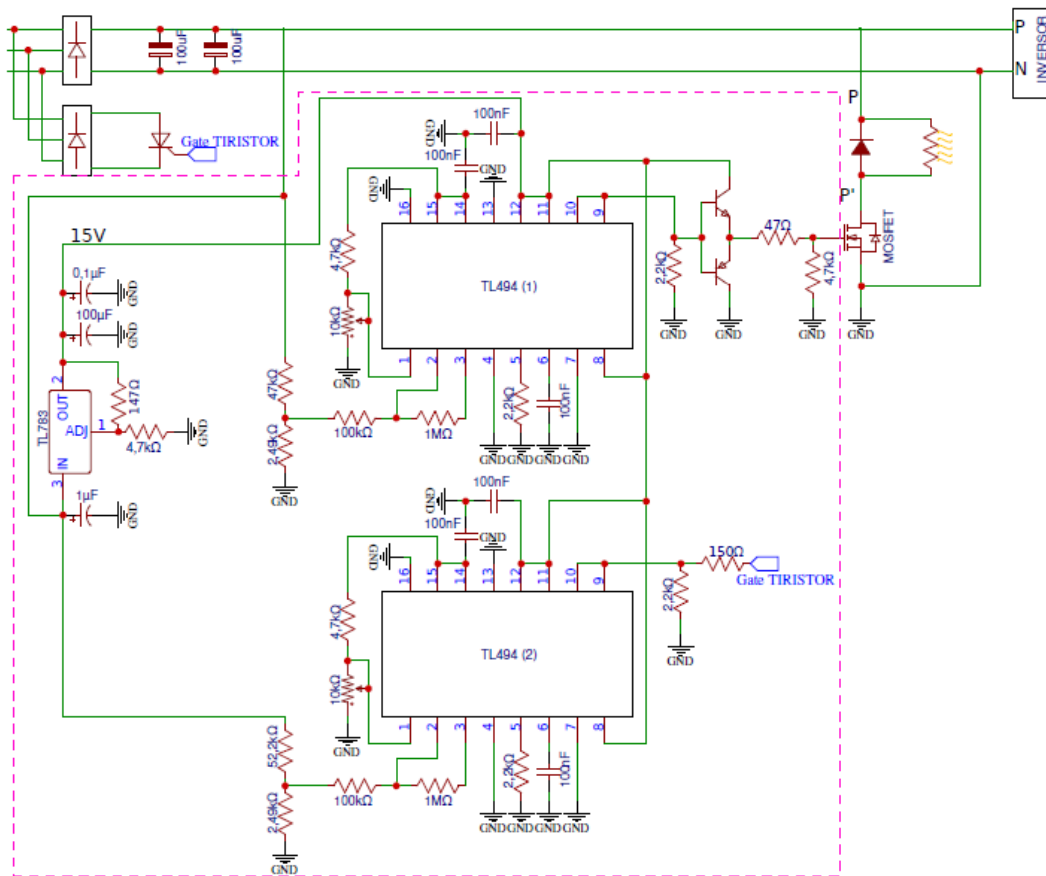


Figure 3 Over-voltage protection circuit schematic 1 for 300 W water turbines

pulses with a duty-cycle proportional to the DC voltage. Thus, the step-down converter dissipates the power in an external resistor R to avoid the no-load operation of the generator and, thus, limiting the DC link voltage. The power resistor must be sized to withstand the P_{DCmax} and at V_{DCmax} according to Equation 1.

$$R = \frac{V_{DCmax}^2}{P_{DCmax}} \quad (1)$$

However, if the PMSG speed or power continues to increase and, therefore, the voltage goes beyond 48 V on the DC bus of Circuit 1 and 380 V for Circuit 2, a second PWM controller, TL494(2), starts generating pulses, triggering a power thyristor. This action short-circuits the generator and, thus, avoids the destruction of the inverter by over-voltage. This crowbar protection is a second level of protection and it is expected to operate only in extreme conditions. In normal operating conditions the protection is ensured by the over-voltage protection described above.

3. Experimental results

This section presents the experimental results achieved with the proposed over-voltage protection circuits.

Table 1 PMSG technical data

Gen	Speed (rpm)	P_{DC} (W)
1	300	300
2	2,000	1,200
3	1,600	1,200
4	630	300

Different operating conditions requiring protection were tested: (a) during the inverter synchronization with the grid, moving from no load to load operation; (b) when the microinverter disconnects from the grid due to a grid failure; (c) when generated power is above P_{DCmax} ; and (d) when the PMSG short circuit is required.

For the first two cases, [a] and [b], the test is done using an emulation platform for pico-hydro systems. This structure has a water reservoir to perform the tests with the first circuit, at the height of 3.5 m and 4 pipes with a total water flow of 40 l/s [13]. The pipes have their outlets equally spaced around the blades of a horizontal water wheel prototype. The wind generator 1 (Gen 1), with the characteristics presented in Table 1, is coupled to the water wheel by a 1:5 mechanical transmission [12].

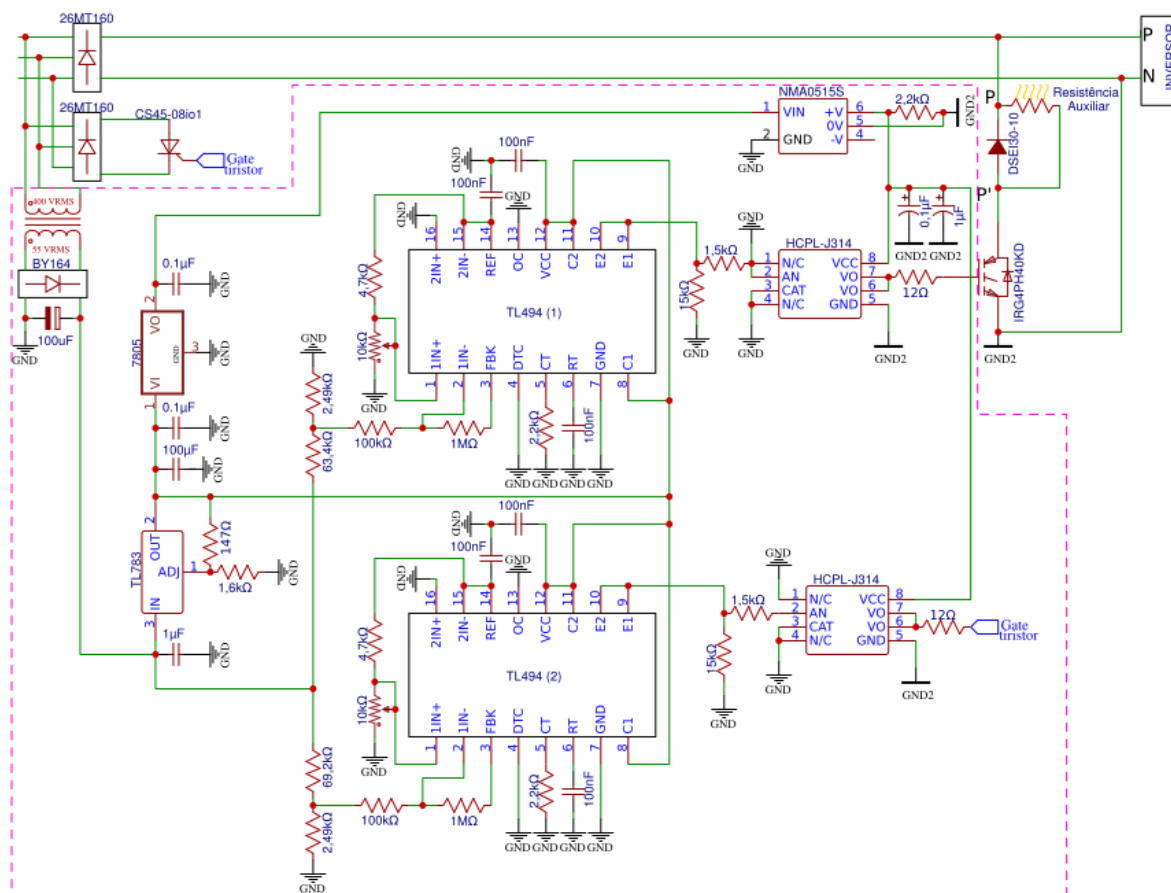


Figure 4 Over-voltage protection circuit schematic 2 for 1,500 W water turbines

In this emulation platform, there are also two pico-hydro turbines, Turgo and Pelton, connected to generators 2 and 3 (Gen 2 and Gen 3) shown in Table 1, respectively. The Circuit 2 was tested with these two turbines (generators 2 and 3). A conventional frequency converter drives a motor-pump that controls the water pressure of turbines pipes and, therefore, emulating the required heads for different power levels, up to 1,500 W. In the third and fourth cases, (c) and (d), the tests were done with Circuit 1 on a workbench that has a three-phase induction motor driven by a frequency converter. The PID macro, usually available in the frequency converters, was used to perform a closed-loop control of the shaft (mechanical) power of the generator. For these tests, the wind generator 4 (Gen 4) presented in Table 1 was used. This PMSG was directly connected to the shaft of the induction motor [12].

The PV microinverters presented in Table 2 were used in both experimental platforms with Circuit 1. Table 3 presents the PV inverters used with the Turgo and Pelton turbines of the emulation platform to test Circuit 2.

Table 2 PV Microinverter technical data [14], [15] and [16]

Characteristic	Unit	Microinverter		
		1	2	3
P_{DCmax}	W	300	300	280
I_{DCmax}	A	11.5	9.5	10
V_{DCmax}	V	50	50	50
$V_{MPPrange}$	V	24-40	20-40	28-40
P_{ACmax}	W	250	235	245

Table 3 PV inverter technical data [17] and [18]

Characteristic	Unit	Inverter	
		1	2
P_{DCmax}	W	2,400	1,650
I_{DCmax}	A	18	10
V_{DCmax}	V	500	400
$V_{MPPrange}$	V	120-450	70-380

3.1 Results obtained with an emulation platform

As said above, an emulation platform for pico-hydro systems, consisting of a horizontal water wheel, a Turgo

and a Pelton turbines, were used for evaluation tests in real conditions. The synchronization test (a) aims to show the performance of the protection circuit when the generator starts with no load and the inverter initiates the synchronization procedure before connecting to the grid. At first, the generator is loaded by the protection circuit while waiting for the PV inverter to be able to process the power generated by the turbine. During this synchronization time, the protection circuit operates and limits the voltage according to the set point. The energy is dissipated in a power resistor preventing damage of the PV inverter.

Test (a), performed with the water wheel connected to Circuit 1 and the microinverters of Table 2, can be seen in Figure 5. During the start-up of the generator, shown in Figure 5a, the microinverter sought to connect a few times. However, it was unsuccessful at first and the protection circuit actions were required. The microinverter of Figure 5b, connects the generator to the grid after about 15 seconds. The protection circuit operates during the last 5 seconds, limiting the DC voltage to 47,2 V. Both figures show the PWM operating as soon as the DC voltage reaches 45 V. For Figure 5c, the microinverter was very agile as it started and achieved the steady-state voltage value of approximately 28,8 V, even before the protection circuit was activated [12].

Test (a), performed with the inverters in Table 3, is illustrated in Figures 6 and 7, when circuit 2 connects to the Turgo and Pelton turbines, respectively.

In Figure 6a, it took about 20 s for the voltage divider of the TL494 (1) to reach 47.2 V, at which point the protection began to work. Inverter 1 has been activated around 25 s after PWM starts. In Figure 6b, the pulses started when the divider was at 46.2 V. Inverter 2 was slower than Inverter 1, because it went into operation 50 s after over-voltage protection was activated.

In case of Circuit 2, it is worth noting that the voltage reference (set point) and the measured value are directly proportional to the voltage on the DC bus. Equation 2 [19] determines the average voltage at the output of a three-phase rectifier. Therefore, knowing that the DC voltage, V_{DC} , at the inverter input is 350 V when PWM starts and the voltage drop at the three-phase rectifier diodes (V_{DIODE}) is 1.26 V, then the line-to-line voltage V_{LL} must be equal to 261 V_{RMS} .

$$V_{DC} = 0.955\sqrt{2}V_{LL} - 2V_{DIODE} \quad (2)$$

On the other hand, with the line-to-line voltage and the value of the voltage drop in the diodes of the single-phase rectifier bridge (1.1 V) after the auxiliary transformer, through Equation 3 [20], it is possible to know the scaled

value at the rectifier output (V_{DIV}) when the DC bus is at 350 V ($V_{LL} = 261 V_{RMS}$), this value is approximately 48.55 V.

$$V_{DIV} = \frac{\sqrt{2}V_{LL}}{7,27} - 2V_{DIODE} \quad (3)$$

With the Pelton turbine test, once again, what differentiated the two inverters was the time they took to synchronize with the grid. When the voltage divider reached 47.6 V the PWM started and while Inverter 1 took 30 s to start, Inverter 2 connected to the grid after 75 s, as shown in Figures 7a and 7b.

Similar to what occurs during grid synchronization, a grid failure causes the increase on generator voltage, unless the protection circuit limits the voltage. Test (b) is shown in Figure 8, where the voltage, which was being maintained at an approximately constant operating point by the inverters, passed to the value limited by the protection circuits, immediately after the grid failure simulation.

3.2 Results obtained with a work bench

The over-power test (c), is performed with a generated power higher than the maximum input power of the microinverter. Figure 9 plots the power dissipated by Circuit 1 in the resistive load and the power at the input of the microinverter. The tests were performed increasing the power. As soon as the input power (P_{DC}) of the microinverter reaches its limit, the protection circuit starts dissipating the power in the power resistor. The input voltage value, V_{DC} was also limited by the protection circuit [12].

The short-circuit (crowbar protection) test (d) was performed increasing the power until the voltage reaches the value designed to short circuit the generator. Figure 10 illustrates the moment when the short-circuit occurs. First, PWM pulses (in blue) are generated by the over-voltage protection when the DC voltage reaches 45 V. Subsequently, when it catches up 47.2 V, the thyristor is turned on and the voltage falls drastically to a value corresponding to a voltage drop across the thyristor (2.4 V). The final value of short circuit current (for the maximum generated power) was 18 A [12].

4. Discussion

The evaluation results using a benchwork and real emulation platform proved the effectiveness of the protection circuits. Tests with the horizontal water wheel, showed that the start-up of the generator (and water wheel) is slow enough for the Microinverter 3 to connect to the grid, even before the protection circuit action is

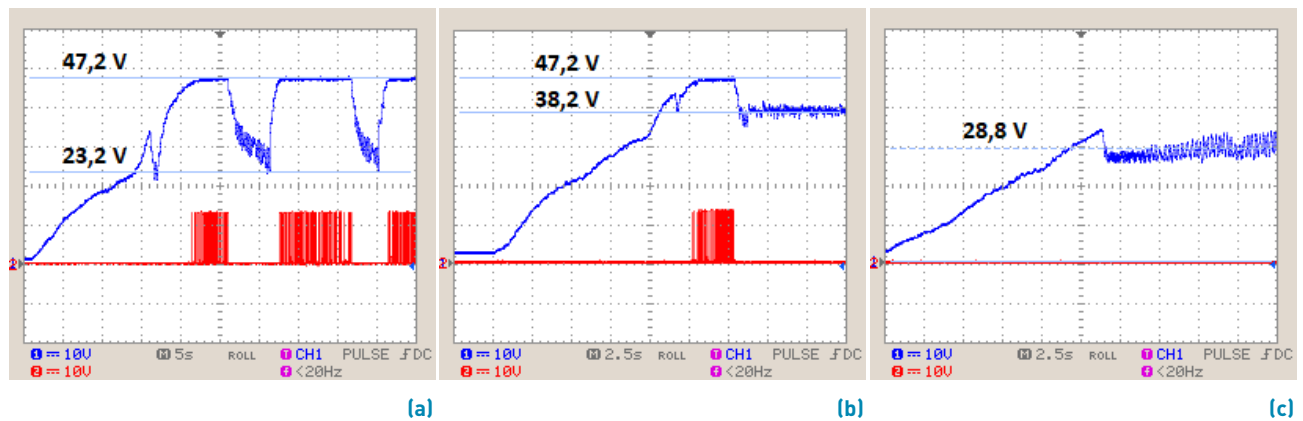


Figure 5 Over-voltage protection circuit behavior during generator start-up and grid synchronization tests with (a) Microinverter 1, (b) Microinverter 2 and (c) Microinverter 3

required. Microinverter 2 connected to the grid after about 15 s, but the action of the protection circuit was required during about 5 s to limit the voltage. Microinverter 1 took several seconds to connect to the grid. In this case, the protection circuit limited the DC voltage conveniently.

Still in the grid synchronization test, while Inverter 1

took nearly 45 s with Turgó (Figure 6a) and 30 s with Pelton (Figure 7a) to turn on, Inverter 2 needed 100 s (Figure 6b) and 75 s (Figure 7b), respectively. During this time, the protection circuit was required for: 25 s (Gen 2, Inverter 1); 10 s (Gen 3, Inverter 1); 50 s (Gen 2, Inverter 2) and 50 s (Gen 3, Inverter 2). Thus, if the voltage would continue to

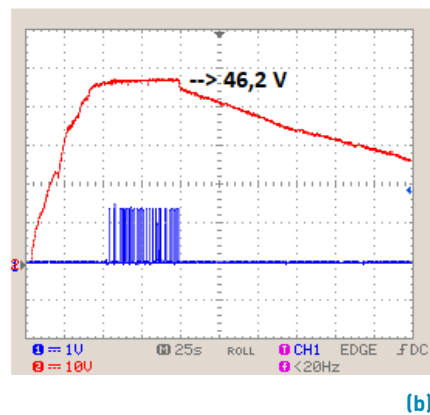
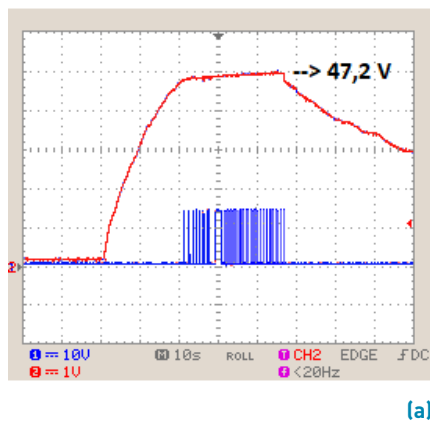


Figure 6 Over-voltage protection circuit behavior during Turgó start-up and grid synchronization tests with (a) Inverter 1 and (b) Inverter 2

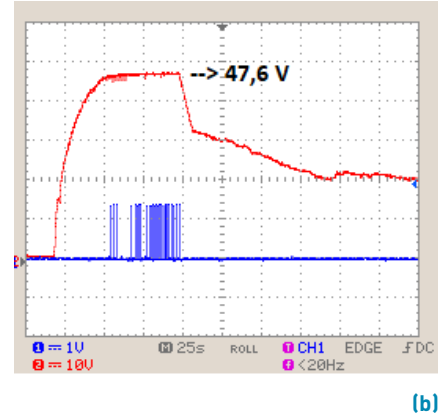
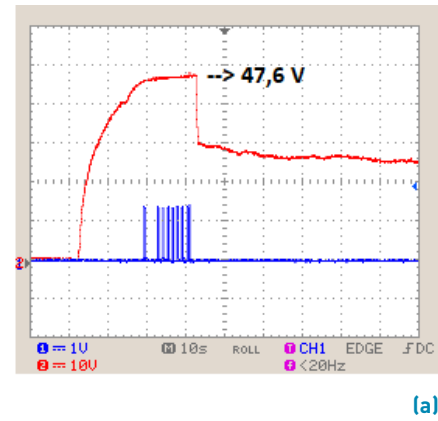


Figure 7 Over-voltage protection circuit behavior during Pelton start-up and grid synchronization tests with (a) Inverter 1 and (b) Inverter 2

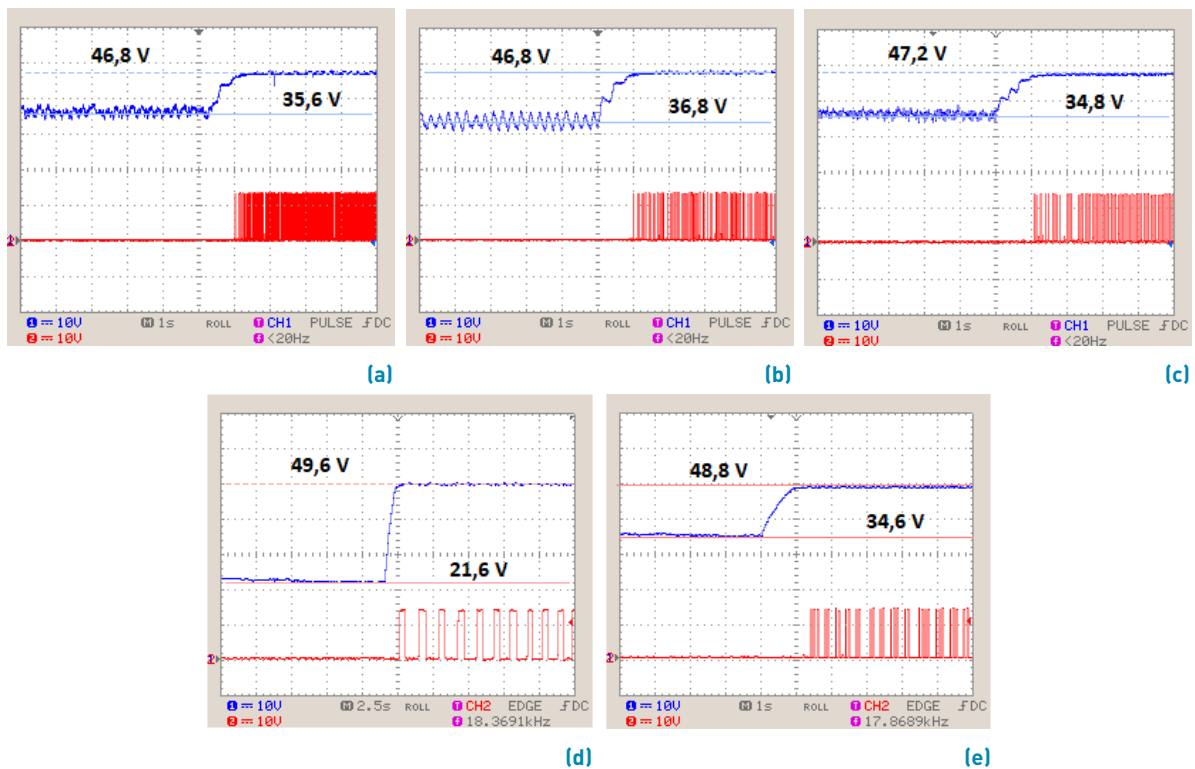


Figure 8 Over-voltage protection circuit behavior in failure tests with (a) Microinverter 1, (b) Microinverter 2, (c) Microinverter 3 (d) Inverter 1 and (e) Inverter 2

increase and there was no protection circuit, the V_{DCmax} would be exceeded and the inverters would be damaged.

The performance of Circuit 1 was demonstrated when the DC voltage reached the value of 45 V and then limited it to 47.2 V, waiting for the Microinverters 1 and 2 connect the generator to the grid. In tests with Inverters 1 and 2, the DC voltage was also limited when the divider of the TL494 (1) on Circuit 2 reached a value close to 48.55 V, around 350 V on the DC bus. After the starting transient, the inverters operated with a DC voltage defined by the MPPT algorithm (below the protection threshold) and the action of the protection circuit was terminated.

When simulating the grid failure, the Circuit 1 limited the DC voltage to 46.8 V with the first and the second Microinverters (Figures 8a and 8b respectively) and to 47.2 V with the third one (Figure 8c). In grid failure tests with Circuit 2, the DC bus voltage was limited to approximately 357 V (49.6 V on the divider) with Inverter 1 (Figure 8d) and on 352 V (48.8 on the divider) with Inverter 2 (Figure 8e). Once again, the developed circuit has proved effectiveness in protecting the devices.

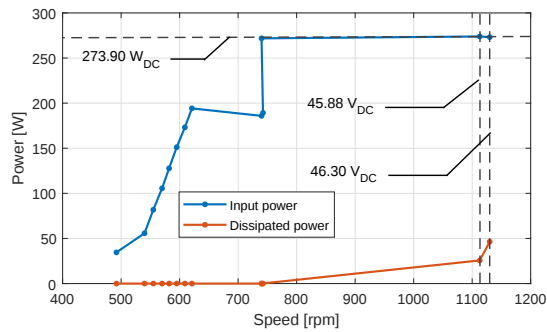
Tests made on a workbench, showed that as the generator power increased, the speed and, therefore, the DC voltage also rose, as seen in Figure 9. At a certain point, a P_{DCmax}

value was reached and the microinverters were no longer able to process the generated power. In this case, the excess was dissipated in the auxiliary power resistor. Notably, the moment when maximum input power is reached, the resistor starts to dissipate. For all cases, the DC voltage protection threshold was approximately 45.50 V.

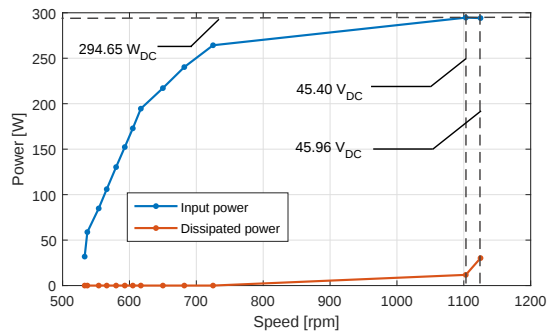
Moreover, when the generated power (and voltage) is too high, further protection is needed. This is done by short-circuiting the generator. During the test (d) it was demonstrated two protections (dissipation in the resistor and the short-circuit) working properly. The protection brakes the generator by short-circuiting it and the speed is significantly reduced. The voltage in the DC bus is limited to the voltage on the thyristor. This additional protection prevents damage of the devices in extreme situations. In this situation, for the system to resume normal operation, operator intervention may be required. In effect, the thyristor will no longer turn off while there is voltage on the DC bus.

5. Conclusion

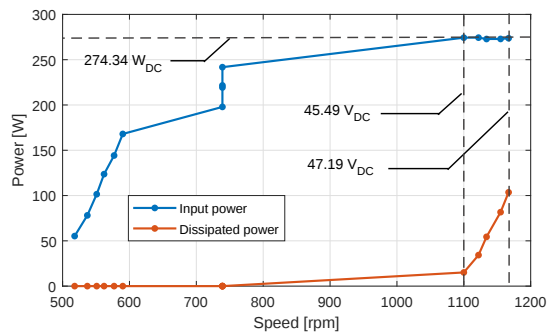
Small-scale pico-hydro systems are an interesting energy generation opportunity because they run 24 hours a day. These systems can be easily exploited if standard



(a)



(b)



(c)

Figure 9 Over-voltage protection circuit behavior with over-power generation tests with (a) Microinverter 1, (b) Microinverter 2 and (c) Microinverter 3. Designed by Gabriela Ribeiro

technology widely available on the market is used, such as generators designed for small wind turbines and photovoltaic inverters. The integration of this equipment, as distributed energy sources connected to the grid, is possible with the over-voltage protection circuits proposed and developed in this work. Experimental tests were performed for validation purposes, both in real context with three different pico-hydro turbines or on a workbench. The results demonstrated the usefulness and efficacy of the developed circuits. The permanent magnet synchronous generators were connected to the grid using five different inverters and two over-voltage protection circuits, one

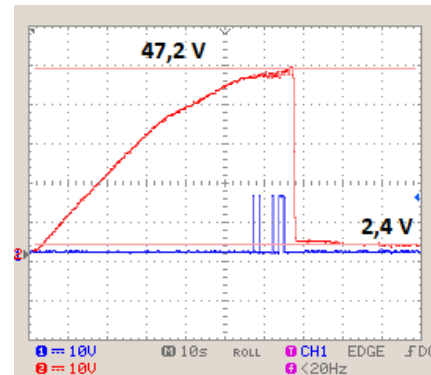


Figure 10 Over-voltage protection circuit behavior with a short-circuit test

for power up to 300 W and another up to 1,500 W. The protection has proved to be effective in the expected situations: during the turbine (generator) starting, while the inverter is connecting to the grid; when the generator is at no load due to grid failures; and in cases of excessive power. Thus, the developed circuits effectively protect the generator against too high speeds and, consequently, it limits the DC voltage at the input of PV microinverters, whenever these are used for grid connection of pico-hydro turbines.

6. Declaration of competing interest

We declare that we have no significant competing interests including financial or non-financial, professional, or personal interests interfering with the full and objective presentation of the work described in this manuscript.

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