

ORIGINAL RESEARCH

Risk factors for health care-associated infections by ESBL-producing germs in an intensive care unit of a public hospital in Bogotá D.C., Colombia

Factores de riesgo para infecciones asociadas a la atención en salud por gérmenes productores de BLEE en una unidad de cuidados intensivos de un hospital público en Bogotá D.C., Colombia

Juan Pablo Camargo-Mendoza¹ Daniel Efrén Ariza-Rodríguez¹ Ariza-Rodríguez¹ Hospital de Kennedy - Intensive Care Unit - Bogotá D.C. - Colombia.

Abstract

Introduction: Extended spectrum beta-lactamases (ESBL) produced by gram-negative bacteria have been associated with increased hospital morbidity and mortality, longer hospital stays, use of carbapenems, and higher health care costs. Few studies have assessed the risk factors for infection by ESBL-producing germs in intensive care units (ICU).

Objective: To determine the risk factors for healthcare-associated infections (HAIs) by ESBL-producing *Klebsiella pneumoniae* and *Escherichia coli* in an ICU of a public tertiary care hospital and to assess the impact of this type of infection on mortality.

Materials and methods: A case-control study with a 1:2 ratio (97 cases and 194 controls) was conducted in critically ill patients admitted to the ICU of a public tertiary care hospital in Bogotá D.C. (Colombia) between January 2016 and December 2019, and in which a HAI by ESBL-producing *K. pneumoniae* or *E. coli* (cases: n=97) or *K. pneumoniae* or *E. coli* with a normal antibiotic resistance pattern (controls: n=194) was documented. Bivariate analyses were performed using the chi-squared and the Mann-Whitney U tests. A logistic regression model was used in the multivariate analysis, and a two-tailed test was performed with the p-values obtained in the bivariate analyses. **Results:** ESBL-producing *K. pneumoniae* and *E. coli* isolates were identified in 57 (58.76%) and 40 (41.24%) patients, respectively. These isolates were obtained mainly from urine samples (30,92%), followed by peritoneal fluid (27.80%). In the multivariate analysis, the presence of urinary tract infection on admission to the ICU was identified as a risk factor (OR=5.63, 95%CI: 1.918-16.53;; *p*=0.002). The mortality rate was 28.17% (26.29% in the controls and 31.95% in the cases), but no significant difference was observed between groups (*p*=0.311). **Conclusion:** Urinary tract infection on admission to the ICU was a risk factor for HAIs by ESBL-producing *K. pneumoniae* or *E. coli*. Furthermore, no significant difference was observed between cases and controls in terms of mortality.

Resumen

Introducción. Las betalactamasas de espectro extendido (BLEE) producidas por gérmenes gram negativos se han asociado con aumento de la morbilidad y mortalidad hospitalaria, mayor estancia hospitalaria, uso de carbapenémicos y mayores costos de atención en salud. Existen pocos trabajos que evalúen los factores de riesgo para infección por gérmenes productores de BLEE en unidades de cuidado intensivo (UCI). **Objetivo.** Determinar los factores de riesgo para el desarrollo de infecciones asociadas a la atención en salud (IAAS) por *Klebsiella pneumoniae* y *Escherichia coli* productoras de BLEE en una UCI de un hospital público de tercer nivel de atención y evaluar el impacto de este tipo de infección en la mortalidad.

Materiales y métodos. Estudio de casos y controles con relación 1:2 realizado en pacientes críticamente enfermos admitidos entre enero de 2016 y diciembre de 2019 a la UCI de un hospital público de tercer nivel de Bogotá D.C., Colombia, y en los que se documentó una IAAS por *K. pneumoniae* o *E. coli* productoras de BLEE (casos; n=97) y por *K. pneumoniae* o *E. coli* con fenotipo de resistencia natural (controles; n=194). Se realizaron análisis bivariados mediante las pruebas de chi-cuadrado y U de Mann-Whitney. En el análisis multivariado se usó un modelo de regresión logística, con una prueba de dos colas con los valores p obtenidos en los análisis bivariados.

Resultados. Se identificaron aislamientos de *K. pneumoniae* y de *E. coli* productoras de BLEE en 57 (58,76%) y 40 (41,24%) de los casos, respectivamente y estos aislamientos se obtuvieron principalmente de muestras de orina (30.92%), seguido de líquido peritoneal (27.83%). En el análisis multivariado, la presencia de infección de vías urinarias al ingreso a UCI fue identificada como factor de riesgo (OR=5.63, IC95%:1.918-16.527; *p*=0,002). La tasa de mortalidad fue del 28.17% (26.29% en los controles y 31.95% en los casos), pero no se observó una diferencia significativa entre grupos (*p*=0.311).

Conclusión. La infección de vías urinarias al ingreso a la UCI fue un factor de riesgo para la IAAS por *K. pneumoniae* o *E. coli* productoras de BLEE. Además, no se observó una diferencia significativa entre los casos y controles en términos de mortalidad.

Open access Received: 13/01/2021 Accepted: 03/08/2021

Corresponding author: Juan Pablo Camargo Mendoza. Unidad de Cuidados Intensivos, Hospital de Kennedy. Bogotá D.C. Colombia. Email: jpcamargome@unal.edu.co.

Keywords: Beta-Lactamases; *Klebsiella Pneumoniae; Escherichia Coli;* Risk Factors; Intensive Care Unit; Antibiotics (MeSH).

Palabras clave: Betalactamasas; *Klebsiella pneumoniae, Escherichia coli,* Factores de riesgo; Unidad de cuidados intensivos; Antibióticos (DeCS).

How to cite: Camargo-Mendoza JP, Ariza-Rodríguez DE. Risk factors for health care-associated infections by ESBL-producing germs in an intensive care unit of a public hospital in Bogotá D.C., Colombia. Rev. Fac. Med. 2022;70(4):e92755. English. doi: https://doi.org/10.15446/revfacmed. v70n4.92755.

Cómo citar: Camargo-Mendoza JP, Ariza-Rodríguez DE. [Factores de riesgo para infecciones asociadas a la atención en salud por gérmenes productores de BLEE en una unidad de cuidados intensivos de un hospital público en Bogotá D.C., Colombia]. Rev. Fac. Med. 2022;70(4):e92755. English. doi: https://doi.org/10.15446/revfacmed. v70n4.92755.

Copyright: ©2021 Universidad Nacional de Colombia. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, the original author and source are credited.



Introduction

Worldwide, antimicrobial resistance has increased both in the hospital setting and in the community and this fact has been associated with decreased survival in specific populations.¹ Beta-lactamases are the main mechanism of beta-lactam resistance in gram-negative bacteria.² These enzymes cleave the beta-lactam ring producing inactive compounds by hydrolyzing most beta-lactam antibiotics, including penicillins; ami-nopenicillins; carboxypenicillins; ureidopenicillins; first, second and third generation cephalosporins; and occasionally, fourth generation cephalosporins.³

Klebsiella pneumoniae and Escherichia coli have been reported to be the most important gram-negative bacteria causing hospital-acquired infections, including urinary tract infection, pneumonia, and bacteremia. Moreover, it has been described that the presence of extended-spectrum β -lactamase (ESBL) production in these two germs is associated with increased mortality.^{4,5}

Risk factors for the presence of ESBL-producing *K. pneumoniae* and *E. coli* in hospital settings include a history of antibiotic therapy, a history of hospitalization, duration of hospitalization, use of invasive devices such as central catheters or bladder catheters, chronic kidney disease, diabetes mellitus, and the presence of any anatomic or functional abnormality of the urinary tract.⁵⁻⁸

In the intensive care unit (ICU) setting, a relationship between the presence of ESBL-producing *K. pneumoniae* and *E. coli* and the development of bacteremia, urinary tract infection, intra-abdominal infection and pneumonia has been reported, which, in turn, is associated with an increased risk of mortality. Likewise, the use of central catheter, bladder catheter, mechanical ventilation; a history of use of antibiotics such as third generation cephalosporins; scores on disease severity scales such as the APACHE (Acute Physiology and Chronic Health Evaluation) II score; and length of stay in the ICU have been described as the main risk factors for the presence of this type of germs in the hospital setting, including ICU patients.⁷⁹⁻¹³

In view of the above, the aim of this study is to determine the risk factors for healthcare-associated infections (HAI) due to ESBL-producing *K. pneumoniae* and *E. coli* in an ICU of a public tertiary care hospital and to evaluate the impact of this type of infection on mortality.

Materials and methods

Study design, study population, and sample

Retrospective case-control study with a 1:2 ratio. The study population comprised all critically ill adult patients (>18 years) admitted between January 2016 and December 2019 to the ICU (21 beds) of the Hospital de Kennedy, a public tertiary care hospital in Bogotá D.C., Colombia, and in whom a HAI (isolation) due to *K. pneumoniae* and/or *E. coli* was documented during their ICU stay (N=630). Sample size was calculated using the EPIDAT 4.2 software with an OR of 2.05, an alpha error of 0.05 and a statistical power of 0.8, resulting in a final sample of 97 cases and 194 controls.

The cases comprised patients with documented HAIs due to ESBL-producing *K. pneumo-niae* and/or *E. coli*, and the controls included those with HAIs due to *K. pneumoniae* and/or *E. coli* with a natural resistance phenotype. The collection of cases and controls was completed in December 2019. It should be noted that the cases did not include patients in whom isola-

tion of ESBL-producing *K. pneumoniae* and/or *E. coli* had been reported prior to admission to the ICU, nor those in whom this finding was documented 48 hours after admission.

Identification of ESBL-producing K. pneumoniae and E. coli isolates

The identification of ESBL-producing *K. pneumoniae* and *E. coli* isolates was carried out in an automated manner using a Microflex® LRF kit manufactured by Bruker. Subsequently, an antibiogram was performed and ESBL production was confirmed using the BD Phoenix® 100 automated microbiology system. Quality controls for the identification and susceptibility of microorganisms followed the recommendations of the Clinical & Laboratory Standards Institute (CLSI).

Variables

Information on the following variables was obtained by reviewing the medical records of each of the patients included in the study: age, sex, clinical severity according to the APACHE II score on ICU admission, cause of admission, blood creatinine level, presence of comorbidities (hypertension, heart failure, diabetes mellitus, etc.), requirement for mechanical ventilation, days on mechanical ventilation, presence and type of infection on admission to the ICU, antibiotic used in the ICU on admission, site of infection of the ESBL-producing germ, days of stay in the ICU, dialysis requirement, and mortality.

Statistical analysis

Categorical variables are described as absolute frequencies and percentages and continuous variables as medians and percentiles (p25-75), since the data showed a non-normal distribution (Shapiro-Wilks test).

Regarding the inferential analysis, a bivariate analysis was performed to determine the risk factors for HAIs due to ESBL-producing *K. pneumoniae* and *E. coli*: the chi-square test was used for categorical variables and the Mann-Whitney U test for continuous variables, since, as mentioned above, data in these variables had a non-normal distribution (Shap-iro-Wilks test). A statistical significance level of p<0.05 was considered.

Finally, a multivariate analysis was performed in which the variables that obtained a p<0.1 in the bivariate analysis were entered into a logistic regression model. It should be pointed out that the variables "days of stay in the ICU" and "days on mechanical ventilation" were not included, as they could be confounding variables since they are both risk factors and consequences of infection by an ESBL-producing germ. A two-tailed test was performed with the p-values obtained and a significance level of p<0.05 was considered. All statistical analyses were performed in the SPSS software, version 26.

Ethical considerations

The study followed the ethical principles for conducting biomedical research involving human subjects established in the Declaration of Helsinki¹⁴ and the technical and administrative standards for health research contained in Resolution 8430 of 1993 issued by the Colombian Ministry of Health.¹⁵ In addition, it was approved by the Research Ethics Committee of the Subred Suroccidente de Kennedy, to which the Hospital de Kennedy adheres, as recorded in unnumbered minutes of April 10, 2015.

Results

ESBL-producing *K. pneumoniae* and *E. coli* isolates were identified in 57 (58.76%) and 40 (41.24%) patients, respectively, and these isolates were mainly obtained from urine samples (30.92%), followed by peritoneal fluid (27.83%). The median age of the participants was 54 years (p25-75: 30-68 years): 53 years in the control group and 55 years in the case group. Moreover, an APACHE II score >20 was obtained in 22.16% of the controls and 34.02% of the cases, and sepsis was the main cause of admission to the ICU in both groups (25.25% and 32.98%).

The presence of infection on ICU admission was reported in 52.06% (n=101) of controls and 73.20% (n=71) of cases; furthermore, the use of antibiotics on ICU admission was documented in 88.14% and 92.75%, respectively. Overall mortality was 28.17% (26.29% in controls and 31.95% in cases), but no significant difference was observed between groups (p=0.311). The clinical and demographic characteristics of the participants are listed in Table 1.

Table 1. Bivariate analysis of risk factors for healthcare-associated infection by extended-spectrum beta-lactamase-producing Klebsiella pneumoniae and Escherichia coli in patients admitted to the intensive care unit of the Hospital de Kennedy, Bogotá D.C., Colombia.

Variable		No ESBL isolate (n=194)	ESBL isolate (n=97)	p
Age (years) Median (25 th -75 th percentile) Sex. Female		53(30-67.5)	55(31-70)	0.482
		75 (38.65%)	48 (49.48%)	
APACHE II score on ICU admission	>20	43 (22.16%)	33 (34.02%)	0.030
	Cardiac	15 (7.73%)	5 (5.15%)	0.413
	Pulmonary	24 (12.37%)	12 (12.37%)	1.000
	Sepsis	49 (25.26%)	32 (32.99%)	0.165
	Neurological	28 (14.43%)	11 (11.34%)	0.465
Cause of admission	Neurosurgery	14 (7.22%)	12 (12.37%)	0.146
	Postoperative - abdomen	33 (17.01%)	12 (12.37%)	0.302
	Postoperative - vascular and thorax	25 (12.89%)	4 (4.12%)	0.019
	Other	6 (3.09%)	9 (9.28%)	0.024
Creatinine (mg/dL) Median (25 th -75 th percentile)		0.86 (0.63-1.26)	1.09 (0.76-2.00)	0.001
Presence of comorbidities	Arterial hypertension	54 (27.84%)	37 (38.14%)	0.064
	Heart failure	10 (5.15%)	12 (12.37%)	0.026
	Diabetes mellitus	19 (9.79%)	22 (22.68.%)	0.003
	COPD	18 (9.28%)	11 (10.31.%)	0.560
	Cancer	7 (3.61%)	10 (10.31%)	0.020
	AIDS	3 (1.55%)	8 (8.25%)	0.004
	Other	16 (8.25%)	14 (14.43%)	0.095
Requirement for ventilatory support		156 (80.41%)	79 (81.44%)	0.833
Days on mechanical ventilation Median (25 th -75 th percentile)		10 (5-16) 20 (10-28)		0.016
Infection present on admission to the ICU	Respiratory infection	43 (42.57%)	23 (32.39%)	0.767
	Urinary tract infection	7 (6.93%)	12 (16.90%)	0.004
	Soft tissue	9 (8.91%)	4 (5.63%)	0.841
	Catheter	1 (0.99.%)	1 (1.41%)	0.616
	Abdominal	35 (34.65%)	26 (36.62%)	0.083
	Bone	1 (0.99.%)	0	0.479
	Central Nervous System	3 (2.97.%)	0	0.218
	Other	2 (1.98.%)	5 (7.04%)	0.030
	Total:	101	71	

Variable		No ESBL isolate (n=194)	ESBL isolate (n=97)	p	
	First generation cephalosporin	5 (2.92%)	2 (2.25%)	0.787	
Antibiotic used in the ICU on admission	Second generation cephalosporin	2 (1.17%)	0	0.316	
	Third generation cephalosporin	3 (1.75%)	2 (2.25%)	0.750	
	Fourth generation cephalosporin	0	2 (2.25%)	0.045	
	Ampicillin-sulbactam	37 (21.64%)	11 (12.36%)	0.094	
	Vancomycin	8 (4.68%)	6 (6.74%)	0.438	
	Piperacillin-tazobactam	85 (49.71%)	54 (60.67%)	0.056	
	Carbapenems	14 (8.19%)	4 (4.49%)	0.302	
	Clarithromycin	6 (3.51%)	0	0.080	
	Ampicillin-sulbactam plus clarithromycin	4 (2.34%)	3 (3.37%)	0.588	
	Piperacillin- tazobactam plus clarithromycin	2 (1.17%)	3 (3.37%)	0.202	
	Vancomycin plus carbapenems	4 (2.34%)	1 (1.12%)	0.523	
	Trimethoprim-sulfamethoxazole	1 (0.58%)	1 (1.12%)	0.616	
	Total:	171	89		
Site of infection by ESBL- producing germ	Respiratory		25 (25.77%)		
	Urinary tract infection		30 (30.93%)		
	Associated with intravascular device		10 (10.31%)		
	Abdomen		27 (27.84%)		
	Other		5 (5.15%)		
Days of stay in ICU when infection occurred Median (25 th -75 th percentile)			8 (5-12)		
Dialysis requirement		133 (68.56%)	52 (53.61%)	0.012	
Days of stay in ICU Median (25 th -75 th percentile)		11.5 (7-20)	19 (13-30)	<0.001	
Death			1	1	

Table 1. Bivariate analysis of risk factors for healthcare-associated infection by extended-spectrum beta-lactamase-producing Klebsiella

 pneumoniae and Escherichia coli in patients admitted to the intensive care unit of the Hospital de Kennedy, Bogotá D.C., Colombia. (continued)

COPD: chronic obstructive pulmonary disease; AIDS: acquired immunodeficiency syndrome; ICU: intensive care unit; ESBL: extended-spectrum beta-lactamases.

Source: Own elaboration.

In the bivariate analysis (Table 1), it was found that, compared to the control group, the case group had a higher proportion of patients with an APACHE II score >20 (22.16% vs. 34.02%; p=0.03), the frequency of individuals with urinary tract infection on ICU admission was higher (6.93% vs. 16.90%; p=0.004), the proportion of patients requiring dialysis was lower (68.56% vs. 53.61%; p=0.012), and the median length of ICU stay was longer (11.5 [IQR: 7-20] vs. 19 days [IQR: 13-30]). There was no significant difference in the mortality rate between groups (26.29% vs. 31.96%; p=0.311).

In the multivariate analysis (Table 2), urinary tract infection on admission to the ICU was found to be a risk factor for HAIs due to ESBL-producing *K. pneumoniae* or *E. coli* (OR=5.63, 95%CI: 1.918-16.527; p=0.002), with the clarification that the infection on admission was not caused by an ESBL-producing bacterium. In addition, dialysis requirement during the ICU stay behaved as a protective factor (OR=0.432, 95%CI: 0.246-0.756; p=0.003).

Risk Factors		No ESBL isolate (n=194)	ESBL isolate (n=97)	OR	95%CI	p
Sex. Female		75 (38.65%)	48 (49.48%)	0.937	0.533-1.649	0.822
APACHE II score on admission to ICU	>20	43(22.16%)	33(34.02%)	1.552	0.802-3.002	0.192
Cause of admission	Post operative - vascular and thorax	25(12.88%)	4(4.12%)	0.547	0.171-1.753	0.310
Presence of comorbidities	Arterial hypertension	54(27.83%)	37(38.14%)	0.968	0.479 - 1.955	0.927
	Heart failure	10(5.15%)	12(12.37%)	1.985	0.711-5.543	0.191
	Diabetes mellitus	19(9.79%)	22(22.68.%)	2.237	0.915 - 5.471	0.078
	Cancer	7(3.61%)	10(10.31%)	2.154	0.671-6.914	0.197
	AIDS	3(1.55%)	8(8.25%)	3.775	0.787-18.100	0.097
Presence of infection on admission to the ICU	Respiratory	43(42.57%)	23(32.39%)	1.459	0.710 - 2.996	0.304
	Urinary	7(6.93%)	12(16.90%)	5.630	1.918 – 16.527	0.002
	Abdominal	35(34.65%)	26(36.62%)	1.773	0.837-3.756	0.134
Creatinine (mg/dL) Median 25 th -75 th percentile)		0.86(0.63-1.26)	1.09(0.76-2)	0.982	0.939-1.027	0.435
Dialysis requirement		133(68.56%)	52(53.61%)	0.432	0.246-0.756	0.003
Antibiotic used in the ICU on admission	Ampicillin-sulbactam	37(21.64%)	11(12.36%)	0.676	0.267-1.709	0.408
	Piperacillin-tazobactam	85(49.71%)	54(60.67%)	1.811	0.966 - 3.395	0.064

Table 2. Multivariate analysis of risk factors for healthcare-associated infection by extended-spectrum beta-lactamase (ESBL)-producing

 Klebsiella pneumoniae and *Escherichia coli* in the intensive care unit of the Hospital de Kennedy, Bogotá D.C., Colombia.

AIDS: acquired immunodeficiency syndrome; ICU: intensive care unit; ESBL: extended-spectrum beta-lactamases. Source: Own elaboration based on the data obtained in the study.

Discussion

HAIs due to ESBL-producing enterobacteria are a serious problem worldwide both because of their high prevalence and because of the complications that derive from their presence, resulting in an increase in hospital stay and mortality risk.^{4,7-13} At the Hospital de Kennedy, where the present study was carried out, the prevalence of HAIs due to ES-BL-producing germs have been increasing in recent years, so knowing the risk factors for this type of infection in the hospital is necessary to develop and implement an effective intervention to reduce their frequency.

In the present study, a statistically significant association was observed between having a urinary tract infection on admission to the ICU and the development of HAIs due to ESBL-producing *K. pneumoniae* and *E. coli* during ICU stay (OR=5.63, 95%CI: 1.918-16.527; p=0.002). In addition, dialysis requirement during ICU stay was found to be a protective factor for this type of infection (OR=0.432, 95%CI: 0.246-0.756; p=0.003).

In Colombia, several studies have been conducted to determine the risk factors for antimicrobial resistance in ESBL-producing *E. coli* and *K. pneumoniae* isolates in the hospital setting,¹⁰ including both patients admitted to the inpatient service and those hospitalized in critical care units.^{9,10} However, as of the date of this research, this is the first study in the country performed only with ICU patients, finding that the diagnosis of urinary tract infection on admission to the ICU was a risk factor for HAIs by this type of germs (OR=5.63, 95%CI: 1.918-16.53; p=0.002). This finding is similar to the one reported in the study conducted by Pineda *et al.*¹⁰ in patients treated in the hospitalization, emergency, intensive care and coronary care departments of two hospitals in Bogotá D.C. (555 patients: 185 cases and 370 controls), where the following risk factors for the development of urinary tract infection by ESBL-producing germs acquired in the community were reported: recurrent urinary tract infection (OR=2.13, 95%CI: 1.48-3.07), a history of chronic kidney disease (OR=1.56, 95%CI: 1.07-2.27), previous antibiotic use (OR=3.46, 95%CI: 2.48-5.35), recent hospitalization (OR=3.0, 95%CI: 1.96-2.45), a history of diabetes mellitus (OR=1.61, 95%CI: 1.06-2.45), and upper urinary tract infection (OR=2.64, 95%CI: 1.61-4.2).

On the other hand, in the multivariate analysis, dialysis requirement was a protective factor against the development of HAIs due to this type of germs (OR=0.432, 95%CI:0.246-0.756; *p*=0.003). This finding differs from the reports of different studies in which chronic kidney disease has been described as a risk factor for the presence of infections by ESBL-producing germs.^{9,10,16} In this regard, it should be noted that this discrepancy may be explained by the characteristics of the ICU in which the present study was carried out, since it is open and patients requiring this type of life-support therapy are treated in an environment with strict isolation parameters and, therefore, there are stricter asepsis and antisepsis measures.

The use of antibiotics such as piperacillin-tazobactam has been described as a risk factor for infection or colonization by ESBL-producing germs.^{13,17-19} In this regard, in the present study, although the multivariate analysis did not show a significant association between the use of this antibiotic on admission to the ICU and the development of HAIs due to ESBL-producing *E. coli* or *K. pneumoniae* during the ICU stay, this variable showed a tendency to behave as a risk factor, as was the case with the presence of diabetes *mellitus*.

Likewise, in the present study, no significant association was found between the presence of HAIs due to ESBL-producing *E. coli* or *K. pneumoniae* and variables that have been described as risk factors for infection by ESBL-producing germs. These variables include the use of cephalosporins^{12,13,16,20,21} (perhaps due to the limitation of their use in the Hospital de Kennedy by the institutional infection committee, which is reflected in the low frequency of use in both cases and controls), the severity of the disease^{20,22} (as determined by the APACHE II score on admission to the ICU), and the need for mechanical ventilation.^{19,20}

Furthermore, it should be noted that our study did not evaluate variables that have been associated with this type of infection, namely, the requirement of a central catheter or bladder catheter^{22,23} (used in all of our cases and controls, which is why this variable was not considered), the use of antibiotics in the last 3 months, and a history of stay in a nursing home or receiving nursing care at home^{11,20,22,24-26} (variables that were not considered due to the unavailability of reliable data).

In the bivariate analysis, no statistically significant association was observed between the presence of HAIs due to a ESBL-producing germ (*E. coli* or *K. pneumoniae*) and mortality upon discharge from the ICU, a finding that has also been described in similar studies.^{12,24,27} However, there was a significant association with the variables days of stay in the ICU and days on mechanical ventilation, which has also been reported in the literature,^{19,20} with the median time in both variables being longer in the case group. It is important to highlight this fact, given its association, both causal and consequential, with infection by an ESBL-producing germ. In spite of the above, given the design of the present study, it was not possible to characterize them as independent risk factors, so they were excluded from the multivariate analysis due to the high risk of them being confounding variables.

Finally, as mentioned above, due to its methodological design, there were several limitations to the study that did not allow for a characterization of all the variables that, according to the relevant literature, are associated with antimicrobial resistance in ESBL-producing germs. Additionally, it should be noted that the ICU of the Hospital de Kennedy operates as an open unit where a higher risk of transmission of infectious diseases is expected, so it is not possible to extrapolate the findings reported here to the population treated in closed ICUs.

Conclusion

Urinary tract infection on admission to the ICU was a risk factor for HAIs due to ESBL-producing *K. pneumoniae* or *E. coli*, while the use of piperacillin-tazobactam on admission to the ICU and having diabetes mellitus showed a tendency to behave as a risk factor. Moreover, no significant difference was observed between cases and controls in terms of mortality. Understanding the risk factors for bacterial resistance allows the development of preventive, diagnostic and therapeutic strategies for its timely control. Consequently, further studies of this type are needed in order to obtain more data that will allow the development of predictive scales for HAIs due to ESBL-producing Enterobacteriaceae.

Conflicts of interest

None stated by the authors.

Funding

None stated by the authors.

Acknowledgments

None stated by the authors.

References

- 1. World Health Organization (WHO). Global Antimicrobial Resistance Surveillance System (GLASS) Report 2016-2017. Geneva: WHO; 2018.
- Baek YJ, Kim YA, Kim D, Shin JH, Uh Y, Shin KS, *et al.* Risk Factors for Extended-Spectrum-β-Lactamase-producing Escherichia coli in Community-Onset Bloodstream Infection: Impact on Long-Term Care Hospitals in Korea. Ann Lab Med. 2021;41(5):455-62. https://doi.org/gjn72x.
- 3. Castanheira M, Simner PJ, Bradford PA. Extended-spectrum β-lactamases: an update on their characteristics, epidemiology and detection. JAC Antimicrob Resist. 2021;3(3):dlab092. https://doi.org/gqgtqv.
- Melzer M, Petersen. Mortality following bacteraemic infection caused by extended spectrum beta-lactamase (ESBL) producing *E. coli* compared tonon-ESBL producing *E. coli*. J Infect. 2007;55:254-2. https://doi.org/dkpkb7.
- Cerceo E, Deitelzweig SB, Sherman BM, Amin AN. Multidrug-Resistant Gram-Negative Bacterial Infections in the Hospital Setting: Overview, Implications for Clinical Practice, and Emerging Treatment Options. Microb Drug Resist. 2016;22(5):412-31. https://doi.org/f8vqvg.
- Bisson G, Fishman N, Patel J, Edelstein P, Lautenbach E. Extended-spectrum beta-lactamase-producing *Escherichia coli* and *Klebsiella* species: risk factors for colonization and impact of antimicrobial formulary interventions on colonization prevalence. Infect Control Hosp Epidemiol. 2002;23(5):254-60. https://doi.org/bdwh9v.
- 7. Lautenbach E, Patel JB, Bilker WB, Edelstein P, Fishman N. Extended-spectrum beta-lactamase-producing *Escherichia coli* and *Klebsiella pneumoniae*: risk factors for infection and impact of resistance on outcomes. Clin Infect Dis. 2001;32:1162-70. https://doi.org/d6878t.
- Hidron AI, Edwards JR, Patel J, Horan TC, Sievert DM, Pollock DA, Fridkin SK, et al. NHSN annual update: antimicrobial-resistant pathogens associated with healthcare-associated infections: annual summary of data reported to the National Healthcare Safety Network at the Centers for Disease Control and Prevention, 2006-2007. Infect Control Hosp Epidemiol. 2008;29:996-1011. https://doi.org/cnbqb3.
- 9. Jimenez A, Alvarado A, Gómez F, Carrero G, Fajardo C. Factores de riesgo asociados al aislamiento de *Escherichia coli* o *Klebsiella pneumoniae* productoras de betalactamasas de espectro extendido en un hospital de cuarto nivel en Colombia. Biomédica. 2014;34(Suppl 1):16-22. https://doi.org/jrht.
- 10. Pineda-Posada M, Arias G, Suárez-Obando F, Bastidas A, Ávila-Cortés Y. Factores de riesgo para el desarrollo de infección de vías urinarias por microorganismos productores de betalactamasas de

espectro extendido adquiridos en la comunidad, en dos hospitales de Bogotá D.C., Colombia. Infectio. 2017;21(3):141-7. https://doi.org/jrhv.

- Peña C, Pujol M, Ardanuy C, Ricart A, Pallarés R, Liñares J, et al. An outbreak of hospital-acquired Klebsiella pneumoniae bacteraemia, including strains producing extended-spectrum beta-lactamase. J Hosp Infect. 2001;47(1):53-9. https://doi.org/bwdn84.
- Du B, Long Y, Liu H, Chen D, Liu D, Xu Y, *et al.* Extended-spectrum beta-lactamase-producing *Escherichia coli* and *Klebsiella pneumoniae* bloodstream infection: risk factors and clinical outcome. Intensive Care Med. 2002;28(12):1718-23. https://doi.org/cpmtgv.
- Vargas-Superti, Augusti G, Prehn-Zavascki A. Risk factors for and mortality of extended-spectrum-beta-lactamase-producing *Klebsiella pneumoniae* and *Escherichia coli* nosocomial bloodstream infections. Rev Inst Med Trop Sao Paulo. 2009;51(4):211-6. https://doi.org/dprks8.
- 14. World Medical Association (WMA). WMA Declaration of Helsinki Ethical principles for medical research involving human subjects. Fortaleza: 64th WMA General Assembly; 2013.
- 15. Colombia. Ministerio de Salud. Resolución 8430 de 1993 (octubre 4): Por la cual se establecen las normas científicas, técnicas y administrativas para la investigación en salud. Bogotá D.C.; octubre 4 de 1993.
- Saely S, Kaye K, Fairfax M, Chopra T, Pogue J. Investigating the impact of the definition of previous antibiotic exposure related to isolation of extended spectrum β-lactamase-producing *Klebsiella pneumoniae*. Am J Infect Control. 2011;39(5):390-5. https://doi.org/d849hp.
- Harris A, McGregor J, Johnson J, Strauss S, Moore A, Standiford H, *et al.* Risk factors for colonization with extended-spectrum β-lactamase–producing bacteria and intensive care unit admission. Emerg Infect Dis. 2007;13(8):1144-9. https://doi.org/gm3wwt.
- Shamsrizi P, Gladstone BP, Carrara E, Luise D, Cona A, Bovo C, *et al.* Variation of effect estimates in the analysis of mortality and length of hospital stay in patients with infections caused by bacteria-producing extended-spectrum beta-lactamases: a systematic review and meta-analysis. BMJ Open. 2020;10(1):e030266. https://doi.org/jrhx.
- 19. Londoño-Restrepo J, Macias-Ospina IC, Ochoa-Jaramillo FL. Factores de riesgo asociados a infecciones por bacterias multirresistentes derivadas de la atención en salud en una institución hospitalaria de la ciudad de Medellín 2011-2014. Infectio. 2016;20(2):77-83. https://doi.org/jrhz.
- 20. Pitout JDD, Laupland K. Extended-spectrum beta-lactamase-producing Enterobacteriaceae: an emerging public-health concern. Lancet Infect Dis. 2008;8(3):159-66. https://doi.org/fhxj5w.
- 21. Villegas MV, Kattan JN, Quinteros MG, Casellas JM. Prevalence of extended-spectrum beta-lactamases in South America. Clin Microbiol Infect. 2008;14(Suppl 1):154-8. https://doi.org/fhv97z.
- 22. Shah AA, Hasan F, Ahmed S, Hameed A. Characteristics, epidemiology and clinical importance of emerging strains of Gram-negative bacilli producing extended-spectrum beta-lactamases. Res Microbiol. 2004;155(6):409-21. https://doi.org/bcmtzv.
- 23. Cordery RJ, Roberts CH, Cooper SJ, Bellinghan G, Shetty N. Evaluation of risk factors for the acquisition of bloodstream infections with extended-spectrum beta-lactamase-producing *Escherichia coli* and *Klebsiella* species in the intensive care unit; antibiotic management and clinical outcome. J Hosp Infect. 2008;68(2):108-15. https://doi.org/brhq7d.
- 24. Skippen I, Shemko M, Turton J, Kaufmann ME, Palmer C, Shetty N. Epidemiology of infections caused by extended-spectrum beta-lactamase-producing *Escherichia coli* and *Klebsiella spp.*: a nested case-control study from a tertiary hospital in London. J Hosp Infect. 2006;64(2):115-23. https://doi.org/d2zq5m.
- Oteo J, Pérez-Vázquez M, Campos J. Extended-spectrum [beta]-lactamase producing *Escherichia coli*: changing epidemiology and clinical impact. Curr Opin Infect Dis. 2010;23(4):320-6. https://doi.org/d2t36t.
- 26. Saldarriaga-Quintero E, Echeverri-Toro L, Ospina-Ospina S. Factores clínicos asociados a multirresistencia bacteriana en un hospital de cuarto nivel. Infectio. 2015;19(4):161-7. https://doi.org/f3gwgr.
- 27. Sianipar O, Asmara W, Dwiprahasto I, Mulyono B. Mortality risk of bloodstream infection caused by either *Escherichia coli* or *Klebsiella pneumoniae* producing extended-spectrum β-lactamase: a prospective cohort study. BMC Res Notes. 2019;12(1):719. https://doi.org/jrh4.