#### **CTecnoLógicas**

ISSN-p 0123-7799 ISSN-e 2256-5337 Vol. 22, No. 45, pp. 129-143 Mayo-agosto de 2019



© Instituto Tecnológico Metropolitano Este trabajo está licenciado bajo una Licencia Internacional Creative Commons Atribución (CC BY-NC-SA)



# Spectral unmixing approach in hyperspectral remote sensing: a tool for oil palm mapping

# Desmezciado espectral en percepción remota hiperespectral: una herramienta para el mapeo de palma aceitera

Héctor Vargas<sup>®1</sup>, Ariolfo Camacho<sup>®2</sup>, y Henry Arguello<sup>®</sup> <sup>©3</sup>

> Recibido: 28 de febrero de 2019 Aceptado: 30 de abril de 2019

#### Cómo citar / How to cite

H. Vargas, A. Camacho, y H. Arguello, "Desmezclado espectral en percepción remota hiperespectral: una herramienta para el mapeo de palma aceitera". *TecnoLógicas*, vol. 22, no. 45, pp. 129-143, 2019. https://doi.org/10.22430/22565337.1228

- <sup>1</sup> MSc en Ingeniería Electrónica, Departamento de Ingeniería Electrónica, Universidad Industrial de Santander, Bucaramanga, Colombia, hector.vargas@correo.uis.edu.co
- <sup>2</sup> MSc en Ingeniería de Sistemas e Informática, Departamento de Ingeniería de Sistemas, Universidad Industrial de Santander, Bucaramanga, Colombia, ariolfo.camacho@correo.uis.edu.co
- <sup>3</sup> PhD en Ingeniería Eléctrica y Computación, Departamento de Ingeniería de Sistemas, Universidad Industrial de Santander, Bucaramanga, Colombia, henarfu@uis.edu.co

Desmezclado espectral en percepción remota hiperespectral: una herramienta para el mapeo de palma aceitera

#### Abstract

Oil palm plantations typically span large areas; therefore, remote sensing has become a useful tool for advanced oil palm monitoring. This work reviews and evaluates two approaches to analyze oil palm plantations based on hyperspectral remote sensing data: linear spectral unmixing and spectral variability. Moreover, a computational framework based on spectral unmixing for the estimation of fractional abundances of oil palm plantations is proposed in this study. Such approach also considers the spectral variability of hyperspectral image signatures. More specifically, the proposed computational framework modifies the linear mixing model by introducing a weighting vector, so that the spectral bands that contribute the least to the estimation of erroneous fractional abundances can be identified. This approach improves palm detection as it allows to differentiate them from other materials in terms of fractional abundances. Experimental results obtained from hyperspectral remote sensing data in the range 410-990 nm show improvements of 8.18 % in User Accuracy (Uacc) in the identification of oil palms by the proposed framework with respect to traditional unmixing methods. Thus, the proposed method achieved a 95% Uacc. This confirms the capabilities of the proposed computational framework and facilitates the management and monitoring of large areas of oil palm plantations.

#### **Keywords**

Hyperspectral, Spectral Variability, Unmixing, Endmember, Abundance, Oil palm.

#### Resumen

Las plantaciones de palma de aceite típicamente abarcan grandes áreas, por esto, la teledetección remota se ha convertido en una herramienta útil para el monitoreo avanzado de este cultivo. Este trabajo revisa y evalúa dos enfoques para analizar las plantaciones de palma de aceite a partir de datos de teledetección remota hiperespectral: desmezclado espectral lineal y variabilidad espectral. Además, se propone un marco computacional basado en el desmezclado espectral para la estimación de las fracciones de abundancias de cultivos de palma de aceite. Este enfoque también considera la variabilidad espectral de las firmas en las imágenes hiperespectrales. El marco computacional propuesto modifica el modelo de mezcla lineal mediante la introducción de un vector de pesos, de manera que se puedan identificar las bandas espectrales que menos contribuyen a la estimación de fracciones de abundancias erróneas. Este enfoque aprovecha la detección de los árboles de palma de aceite, ya que permite diferenciarlos de otros materiales en términos de fracciones de abundancia. Los resultados experimentales obtenidos a partir de datos de teledetección remota hiperespectral en el rango de 410-990 nm, muestran mejoras de un 8.18 % en la métrica de Precisión del Usuario (Uacc) en la identificación de palmas de aceite por el marco propuesto con respecto a los métodos tradicionales de desmezclado espectral; el método propuesto logró un 95 % de Uacc. Esto confirma las capacidades del marco computacional formulado y facilita la gestión y el monitoreo de grandes áreas de plantaciones de palma de aceite.

#### **Palabras clave**

Hiperespectral, Variabilidad Espectral, Desmezclado, Firmas Puras, Estimación de Abundancias, Palma de Aceite.

## 1. INTRODUCTION

Oil palm production is one of the most important agroindustrial sectors in several countries in South-East Asia and Central and South America, and its crops cover large areas. According to the Statistical Information System of the Palmero Sector (SISPA), Colombia leads the production of oil palm, with 537,177 hectares in 2018, the second biggest crop in Colombia after coffee [1]. Bud Rot Disease (BRD) in oil palms is one of the most common diseases in Central and South American countries [2]. Specifically, BRD outbreaks have significantly affected the Colombian production, according to the Colombian Federation of Cultivators of Oil Palm, (Fedepalma, Federación Colombiana de Cultivadores de Palma Aceitera). In Tumaco (Nariño), 30 thousand hectares were devastated, while in Puerto Wilches (Santander) and Cantagallo (Sur de Bolivar), BRD destroyed 37 thousand hectares [3].

Hyperspectral Remote Sensing (RS) is an imaging technique that has the potential to identify several materials of interest, such as diseased crops. This technology also provides quantitative information on the spatial vegetation composition. cover. species and physicochemical state of different vegetations and crops [4]. That is possible because different materials within a scene uniquelv reflect. absorb. and emit electromagnetic radiation depending on their molecular composition and texture [5]. Hyperspectral RS has been increasingly used to address agricultural problems [6] because the agricultural practices of planting require large areas (hundreds of hectares). Given that oil palm is a perennial tree crop, typically planted in large areas. hyperspectral RS techniques have gained relevance to deal with said agronomic management issue. For instance, the diagnosis and control of diseases in oil palm crops are timeconsuming and complex when it is necessary to perform visual inspections of symptoms [7] [8] [9] [10].

Considering factors such as the large dimensions and size of hyperspectral data, several algorithms are required to analyze the data contained in a hyperspectral image (HSI). Several processing tasks have developed for HSIs. such been as classification, target detection, change detection, and unmixing [5]. Classification algorithms are frequently used to map scenes; thus, hyperspectral classification is considered a labeling problem since each pixel must be labeled in accordance with its spectral signature. The main challenge of hyperspectral classification is the low spatial resolution of HSI, which results in the strong presence of mixed pixels. unmixing Spectral takes on great importance to overcome this challenge. Spectral unmixing assumes that pixels are mixtures of few materials called endmembers. Unmixing consists in the estimation of pure spectral signatures and the abundances at each pixel [11]. The linear mixing model (LMM) is a simple but effective model extensively used for unmixing. spectral However, spectral variability still hinders LMM's ability to offer a high performance [12], [13]. Compared to more complex environments (for example, urban) agricultural scenes can be considered relatively simple, with a limited number of defined land cover classes (for example, crop, soil, weed, and shadow) and a limited variability in the number and type of these classes per pixel. The current study presents an alternative approach to address spectral variability. Variations in the shape and scale of pure spectral signatures are known as spectral variability, an issue that has been identified as a source of error in the analysis and processing of HSIs [14].

In the last decade, several algorithms have been proposed in the literature to describe and address spectral variability [12, 13]. Some authors model the variability through multiple scalars that modulate the endmember matrix. For instance, in [15], variability can be represented by multiple variations of the same endmembers; this information can be used to estimate the parameters that represent the statistical distribution of a specific endmember class. In [16], the authors modify the spectral signature in an additive way, modelling the variability as a value that adds to the endmember matrix, using a perturbed linear mixing model (PLMM). However, a common drawback of the methods mentioned above is the larger number of parameters that must be adjusted. Moreover, the larger the number of land cover materials of interest, the higher the variations of the endmembers, which indicates that the multiple representation approach entails high computational costs. In this work, a computational framework based on weighted linear spectral mixing is adopted with а single tuning parameter: optimization.

This paper presents a tool for oil palm mapping based on spectral unmixing and spectral variability analysis, which allows the identification of different oil palm behaviors based on spectral information that facilitates the management and monitoring of large oil palm plantations. Spectral variability allows the estimation of a better abundance fraction derived from traditional LMM. This study presents a weighted LMM approach that normalizes a spectral signature with positive effects on abundance fraction estimates.

# 2. METHODS

Linear spectral unmixing is a commonly adopted method to detect subpixel proportions of vegetation, soil, and other materials in hyperspectral RS data. In this section, the proposed computational procedure is described and summarized in Algorithm 1.

### 2.1 Notation and Preliminaries

In this work, vectors are represented with bold lowercase letters and the matrices, with bold uppercase characters. The expression  $\mathbb{R}^{N_r \times N_c}$  means the space of real matrices of size  $N_r \times N_c$ . In the vector column  $\mathbf{x} \in \mathbb{R}^{N_r \times 1}$ , the element placed in the  $n_r$ th position is denoted by  $\mathbf{x}_{(n_r)}$ . In the matrices, the element placed in the  $n_r$ th row and  $n_c$ th column is denoted by  $\mathbf{X}_{(n_r,n_c)}$ . Additionally, the vector located in the  $n_r$ th row of matrix **X** is denoted by  $\mathbf{X}_{(n_{r,:})}$  and, in the same way, for the  $n_c$ th column, be, represent by  $\mathbf{X}_{(:,n_c)}$ .  $\mathbf{F} = [\mathbf{f}_1^T \dots \mathbf{f}_{n_p}^T \dots \mathbf{f}_{N_p}^T] \in \mathbb{R}^{N_p \times N_b}$ Let the hyperspectral matrix, where  $N_p$  is the number of pixels;  $N_b$ , the number of bands; and  $\mathbf{f}_{n_n} \in \mathbb{R}^{N_b \times 1}$ , the  $n_p$ -th spectral vector. Due to the fact that the adjacent bands of spectral images are highly correlated, the columns of F lie in a subspace with dimension  $N_s$ , such that  $N_s \ll N_b$  [17]. Then, using the matrix form, the spectral image F can be written as the combination of a low-rank parametrization and additive noise see (1)

$$\mathbf{F} = \mathbf{X} + \Omega, \tag{1}$$

where  $\mathbf{X} \in \mathbb{R}^{N_p \times N_b}$  is the true signal of numerical rank  $N_s$  and  $\mathbf{\Omega} \in \mathbb{R}^{N_p \times N_b}$  is the additive noise matrix that is assumed to be distributed as the matrix normal distribution as (2)

$$\boldsymbol{\Omega} \sim \mathcal{M} \mathcal{N}_{N_p, N_b} \left( \boldsymbol{0}_{N_p, N_b}, \boldsymbol{I}_{N_p}, \boldsymbol{\Sigma} \right)$$
(2)

with noise covariance  $\Sigma \in \mathbb{R}^{N_b \times N_b}$ . Traditionally, the noise in HSIs is modelled as additive Gaussian and i.i.d. with covariance  $\Sigma = \sigma^2 \mathbf{I}_{N_b}$  [18].

## 2.2 Denoising

Recently, noise has been assumed to be non-i.i.d., having a diagonal noise

covariance matrix as  $\Sigma = \text{diag}(\sigma_1^2 \dots \sigma_{n_b}^2 \dots \sigma_{N_b}^2)$  [17] [19]. In order to reconvert the non-i.i.d. model into an i.i.d. one, Equation (1) is rewritten as (3)

$$\widetilde{\mathbf{F}} = \mathbf{F} \boldsymbol{\Sigma}^{-1/2} = (\mathbf{X} + \boldsymbol{\Omega}) \boldsymbol{\Sigma}^{-1/2} = \widetilde{\mathbf{X}} + \widetilde{\boldsymbol{\Omega}}, \quad (3)$$

Therefore, noise matrix  $\tilde{\mathbf{\Omega}}$  is assumed to be i.i.d. in accordance with the matrix normal distribution with noise covariance  $\mathbf{\Sigma} = \mathbf{I}_{N_b}$ . Specifically, if  $\|\mathbf{X}\|_F = \sqrt{\mathbf{trace}(\mathbf{X}\mathbf{X}^T)}$ represents the so-called *Frobenius norm* of the matrix  $\mathbf{X}$ , then, the solution to the constrained optimization problem by (4)

$$\widetilde{\mathbf{X}} = \underset{rank(\widetilde{\mathbf{X}})=N_{S}}{\operatorname{argmin}} \left\| \widetilde{\mathbf{F}} - \widetilde{\mathbf{X}} \right\|_{F}^{2} = \sum_{n_{S}=1}^{N_{S}} \widetilde{\sigma}_{n_{S}} \widetilde{\boldsymbol{u}}_{(\boldsymbol{n}_{S},:)} \widetilde{\boldsymbol{v}}_{(\boldsymbol{n}_{S},:)}^{T}$$
(4)

where  $\widetilde{\mathbf{X}} = \sum_{n_s=1}^{N_s} \widetilde{\sigma}_{n_s} \widetilde{\boldsymbol{u}}_{(n_s,:)} \widetilde{\boldsymbol{\nu}}_{(n_s,:)}^T$ is the truncated SVD of  $\tilde{F}$ . When  $\tilde{X}$  is estimated from  $\tilde{\mathbf{F}}$ , the true signal is  $\mathbf{X} = \widetilde{\mathbf{X}} \Sigma^{1/2}$ . Since there is a correlation between bands, the spectral correlation can be removed successfully using multiple linear regression by estimating the diagonal matrix  $\Sigma$  [17].

#### 2.3 Endmember Estimation

In accordance with the LMM described in [20], it is assumed that the spectral scene, for a given pixel, is generated by a linear combination of a small number of deterministic spectral signatures known as endmembers. The basic vectors may be interpretable as the mixing matrix, containing the spectral signatures of the endmembers, and  $\boldsymbol{a}_{n_p} = \begin{bmatrix} a_{n_p,1} \dots & a_{n_p,n_e} \dots & a_{n_p,N_e} \end{bmatrix}^T \in \mathbb{R}^{N_e \times 1}$  as the abundance vector of the spectral vector  $\mathbf{x}_{n_p}$ . From (1), the matrix form of LMM is given by (5)

$$\mathbf{X} = \mathbf{A}\mathbf{E}, \qquad \mathbf{E} \ge 0, \mathbf{A} \ge 0 \tag{5}$$

where  $\mathbf{A} = \begin{bmatrix} \boldsymbol{a}_1 \dots \boldsymbol{a}_{n_p} \dots \boldsymbol{a}_{N_p} \end{bmatrix}^T \in \mathbb{R}^{N_p \times N_e}$  is the matrix of abundances and  $\mathbf{E} = \begin{bmatrix} \boldsymbol{e}_{1}^{T} \dots \boldsymbol{e}_{n_{e}}^{T} \dots \boldsymbol{e}_{N_{e}}^{T} \end{bmatrix}^{T} \in \mathbb{R}^{N_{e} \times N_{b}} \text{ is the matrix}$ of endmembers. Due physical to considerations, the abundance coefficients should satisfy the non-negativity constraints (ANC). When the hyperspectral dataset is denoised, the proposed method only requires the initialization of the endmember matrix E. The pure pixel-based algorithms assume the presence, in the data, of at least one pure pixel per endmember [11]. The Vertex Component Analysis (VCA) is a pure pixel-based algorithm that iteratively projects data onto a direction orthogonal to the subspace spanned by the endmembers already determined [21]. However, the pure pixel assumption is a strong requirement that may not hold in many datasets. For that purpose, the Simplex Identification via Split Augmented Lagrangian (SISAL) method [22] is a state-of-the-art method that does not require the presence of pure pixels in the image.

#### 2.4 Abundance Estimation

The unmixing procedure can be formulated as a constrained  $\ell_2$ - $\ell_1$  norm optimization problem, in which the observations are affected by Gaussian noise [23]. Furthermore, the abundance sum-to-one constraint (ASC) is defined as  $A1_{N_e} = 1_{N_p}$ ; then, the optimization problem is formulated as (6). This problem is solved using the SUnSAL TV algorithm [24]. Such formulation looks for the sparsest non-negative solution A which explains data X, given endmembers E. However, traditional LMM approaches fail to fully for spectral account the variability associated with spatial changes. In this work, the LMM has been extended into an mixing augmented linear model by introducing а weighting vector

Desmezclado espectral en percepción remota hiperespectral: una herramienta para el mapeo de palma aceitera

$$\min_{\mathbf{A}} \frac{1}{2} \| \mathbf{X} - \mathbf{A} \mathbf{E} \|_{F}^{2} + \lambda \sum_{n_{p}=1}^{N_{p}} \left\| \mathbf{A}_{(n_{p},:)} \right\|_{1} + \lambda_{TV} \sum_{n_{b}=1}^{N_{b}} TV(\mathbf{A}_{(:,n_{b})})$$
s.t.  $\mathbf{A} > 0, \mathbf{A} \mathbf{1}_{N} = \mathbf{1}_{N}$ 
(6)

where  $TV(\mathbf{A}_{(:,n_b)})$  is a vector extension of the non-isotropic TV and  $(\lambda, \lambda_{TV}) \ge 0$  are regularization parameters.

$$\min_{\mathbf{A}} \frac{1}{2} \| \mathbf{X} - \mathbf{A} \mathbf{E} \|_{F}^{2} + \sum_{n_{p}=1}^{N_{p}} w_{n_{p}} \| \mathbf{A}_{(n_{p},:)} \|_{0} + \lambda_{TV} \sum_{n_{b}=1}^{N_{b}} TV(\mathbf{A}_{(:,n_{b})})$$
s.t.  $\mathbf{A} \ge 0, \mathbf{A} \mathbf{1}_{N_{e}} = \mathbf{1}_{N_{p}}$ 
(7)

where  $\|\mathbf{A}_{(n_p,:)}\|_0$  is the number of non-zero entries in  $\mathbf{A}_{(n_p,:)}$  and  $w_{n_p}$  is the weighted regularization parameter,  $\|\cdot\|_0$  commonly called the  $\ell_0$  "norm" (although it is not a norm).

introducing the weigh  $w_{n_p}$  into (6), the bands that contribute the least to erroneous fraction estimates are prioritized, and the effect of unfavorable bands can be mitigated.

Figure 1 provides an overview of the weighting operation. Note that, when the signatures are normalized by the weight factor, they are very similar. The plot shows that the influence of spectral variability weight on original signatures is more beneficial for the unmixing problem. For that reason, the weighting factor is introduced in (6) to reduce erroneous abundances. The estimation becomes (7).

Despite the fact that this regularizer is not convex, the corresponding function also has a simple closed form: the so-called hard-threshold function, where  $hard(\cdot, a)$ denotes the component-wise application of the function  $y \rightarrow y \mathbf{1}_{|y|\geq a}$ . In this work, the SUNSAL TV algorithm was modified [24]. In (22), the soft operator is changed by a weighted hard thresholding operator. Additionally, the TV regularizer term is introduced to impose spatial consistency in hyperspectral sparse unmixing solutions. The proposal is summarized in Algorithm 1.

Algorithm 1: Hyperspectral Unmixing // Input parameters //

1: **Input:**  $\mathbf{F} \in \mathbb{R}^{N_p \times N_b}$ ,  $\lambda_{TV}$ ,  $N_s$  and  $N_e$ // Denoising procedure// // (Section 2.2) // 2:  $\Sigma \rightarrow \text{noise estimation}(\mathbf{F}) // \text{Alg. 1 [17] }//$ 3:  $\tilde{\mathbf{F}} = \mathbf{F} \boldsymbol{\Sigma}^{-1/2}$ 4:  $\widetilde{\mathbf{X}} = \operatorname{svd}(\widetilde{\mathbf{F}}, N_s) = \sum_{n_s=1}^{N_s} \widetilde{\sigma}_{n_s} \widetilde{\boldsymbol{u}}_{(n_s,:)} \widetilde{\boldsymbol{\nu}}_{(n_s,:)}^T$ 5:  $\mathbf{X} = \widetilde{\mathbf{X}} \Sigma^{1/2}$ // Endmember extraction // // (Section 2.3) // 6:  $\mathbf{E} \rightarrow \operatorname{sisal}(\mathbf{\tilde{X}}, N_{\rho}) // \operatorname{Algorithm} 1 [22] //$ // Abundance estimation // // (Section 2.4) // 7:  $w_{n_p} = 0.1 \times \left\| \mathbf{E} \widetilde{\mathbf{X}}_{(n_p; \cdot)}^T \right\|_{\mathbf{w}}$ 8:  $\boldsymbol{w} = [w_1 \dots w_{n_p} \dots w_{N_p}]$ 9:  $\mathbf{A} \rightarrow \text{modified\_sunsal\_tv}(\mathbf{E}, \mathbf{\widetilde{X}}, \boldsymbol{w}, \lambda_{TV})$ // Algorithm 2 [24] // // Output parameters // 10: **Output:**  $\mathbf{A} \in \mathbb{R}^{N_p \times N_e}$  and  $\mathbf{E} \in \mathbb{R}^{N_e \times N_b}$ 



## 3. RESULTS AND DISCUSSION

This section presents numerical results from real datasets of oil palm crops in Colombia. Matlab software was used and all the numerical experiments were conducted on a computer with an Intel(R) Core(TM) i7-4790 CPU@3.60GHz and 32 GB of RAM running the operating system Windows 10.

#### 3.1 Data Description

This paper uses a dataset of an oil palm plantation acquired through hyperspectral airborne remote sensing in Colombia. The dataset was recorded and supplied by the Colombian company Quimbaya Aerial Services. These images were acquired in the visible and near infrared (VNIR) range using a HySpex VNIR-1600 hyperspectral camera (Norsk Elektro Optikk AS, Norway) over oil palm crops in the southeastern region of Colombia in 2017.

Figure 2 shows the RGB map of the study area, which is located in the Department of Caquetá, Colombia. The study area is a 130-hectare oil palm plantation and the HSIs were acquired through nine flights lines at an altitude of 430 m. Specifically, the dataset considered in this work has a spatial resolution of  $0.6 \times 0.6$  m, and a spectral resolution of 3.7

nm in the spectral range of 415 - 990 nm. The dimensions of the hypercube are  $N_p = 256 \times 256$  and  $N_b = 160$  spectral bands. Fig. 2 shows three subsections in the scene, and each subsection includes various land cover types, e.g., oil palm plantation areas, other vegetation, bare land, buildings, and roads, etc. The first scene exhibits three materials (Oil Palm, Oil Palm Disease, and Grass); the second scene, four materials (Soil, Tree, Palm, and Grass); and the third scene, five materials (Roof, Soil, Grass, and Palm).

#### **3.2 Experiments and Parameter Analysis**

This section explores the effect of selecting the parameter  $\lambda_{TV}$  and the number of features  $N_s$  on the performance of the proposed method for oil palm identification. In the inference experiments, such as unmixing, classification, and detection, the estimators have been improved when denoising techniques are applied as a preprocessing step. Fig. 3(a) is the original noisy spectral band 160, while Figs. 3(b) and 3(c) show the clean spectral band 160 when the rank of the matrix **X** is  $N_s = 10$  and  $N_s = 20$ , respectively. As can be seen in Fig. 3(d) (diagonal covariance matrix), the high noise is present in the 160 band, which can be observed in Fig. 3(e) that the pixel



Fig. 2. Location of study area, Department of Caquetá, Colombia. Source: Authors' own work.

in the clean dataset is smooth with respect to the original pixel in the noisy dataset. The estimation of the number of endmembers is a critical stage in the unmixing. In this work, we consider two of the most widely used approaches: virtual dimensionality (VD) [25], which allows some flexibility in the estimation by having an additional input parameter that enables the control of the sensitivity of the method. and hyperspectral signal identification with minimum error (HySime) [17], which offers advanced features when modeling the noise present in the HSIs. The average number of endmembers estimated by the HySime algorithm for the three scenes was endmembers. However, based on 9 knowledge of the actual terrain and each scene used in this work, the endmembers

with the greatest abundance and interest in this study are shown (diseased oil palm, healthy oil palm, grass, soil, and roof).

The SISAL regularization parameters were set to  $\lambda = 10$ , and the remaining parameters were set to  $\tau = 1$  and  $\mu =$  $10^{-4}$ . Fig. 4 shows the endmember signatures estimated by using SISAL (first column) and VCA (second column) for each scene. Note that the results of endmembers estimated by VCA in the three scenarios are very similar to those of SISAL. However, when abundances are estimated, the difference between VCA and SISAL is noticeable. Figures 5 and 6 show the abundances using the proposed method for the first and second scene, respectively, when the endmembers matrix is initialized using VCA (first row) and SISAL



Fig. 3. Original noisy 160-th band (a), clean band when  $N_s = 10$  (b), clean band when  $N_s = 20$  (b), estimated diagonal covariance matrix (d), clean and noisy pixel in position (128,128) (e). Source: Authors' own work. Source: Authors' own work.

(second row). In optimal conditions, the without algorithm the pure pixel assumption offers a better performance. In the abundance results in the second row in Fig. 6, the red points indicate the oil palms detected by the proposed method and the blue spaces, other types of objects. Note that the proposed method achieves great oil palm identification results using SISAL, as opposed to when the endmembers are initialized with VCA. Furthermore, Fig. 7 shows the effect of the parameter  $\lambda_{TV}$  on palm identification; the proposed method is applied to the dataset with three different values of  $\lambda_{TV} = 0.025$ , 0.01, 0.001 and  $N_s = 10$ . It can be seen that using  $\lambda_{TV} =$ 0.01 yields better results than other cases.

#### 3.3 Comparison with other methods

In this section. the proposed framework is compared with two state-ofart unmixing methods: SUnSAL [23] and SUnSAL TV [24]. Fig. 8 illustrates the performance of the proposed computational framework with the third scene. Comparisons with pure pixel estimation methods were conducted, and the obtained results shown the effectiveness of the proposed method to map oil palm plantations. It can be seen that this area is not homogeneous. Namely, it contains objects that are not oil palm trees, i.e., buildings, other trees, and shadows.



Fig. 4. Endmember signatures estimated using SISAL (first column) and VCA (second column). Source: Authors' own work.

Moreover, the SUnSAL and SUnSAL TV methods could merge some trees together due to the presence of spectral variability or when the distance between them is short. In that case, the regions obtained as palms and non-palms using the proposed method are quite evident.

In addition to that, an oil palm detection procedure was applied for evaluation purposes. After the abundance maps of the oil palm plantation were estimated, oil palm detection was performed using the Circle Hough Transform (CHT) algorithm [26], the Producer Accuracy (Pacc) and User Accuracy (Uacc) by (8)

Pacc = 
$$\frac{T_p}{N_{palm}}$$
, Uacc =  $\frac{T_p}{T_p + F_p}$  (8)

where  $N_{palm}$  is the total number of palm trees in the image;  $T_p$ , the true positive detection indicating the number of



Fig. 5. Abundance maps of the first scene when matrix **E** is estimated using VCA (first row) and SISAL (second row). Source: Authors' own work.



Fig. 6. First three abundance maps of the second scene when matrix **E** is estimated using VCA (first row) and SISAL (second row). Source: Authors' own work.



Fig. 7. Palm abundance maps obtained applying the proposed method and varying  $\lambda = 0.025$  (first),  $\lambda = 0.01$  (second), and  $\lambda = 0.001$  (third). Source: Authors' own work.



Fig. 8. Abundance estimation of the third scene by the proposed method (first column), SUnSAL TV (second column), and SUnSAL (third column). Source: Authors' own work.

detected palms trees; and  $F_p$ , the false negative detection indicating the number of palm trees that were not detected (missed). Fig. 9 shows some palm and nopalm templates highlighted with circles bythe CHT algorithm. In the first image, the white circles represent the true location of oil palms. In the second and third images, the white circles represent  $T_p$ , and the red circles represent  $F_p$ , which are estimated from abundance map by the proposed method and SUnSAL TV, respectively. For example, the oil palms missing in the detection are marked with

Desmezclado espectral en percepción remota hiperespectral: una herramienta para el mapeo de palma aceitera



Fig. 9. Palm detection results using the CHT algorithm. Actual ground (left), abundance map using the proposed method (middle), and using the SUnSAL TV method (right). Source: Authors' own work.

 Table 1. Detection results obtained with the proposed method and SUnSAL TV.

 Source: Authors' own work.

Method		$\frac{F_p}{F_p}$	Pacc (%)	<b>Uacc (%)</b>
Proposed	114	6	91.94	95.00
SUnSAL TV	112	17	90.32	86.82

red circles. Oil palm trees can be missing from the detection because of the presence of other objects or tree crowns close together. Table 1 illustrates the detection results using different measurements. Note that the results suggest that the proposed method is the most promising strategy as preprocessing step before oil palm mapping.

# 4. CONCLUSIONS

This study proposed a computational framework based on spectral unmixing to estimate the abundance fractions of oil plantations. additional palm As an contribution. the proposed approach considers the spectral variability of hyperspectral image signatures. The results of this study demonstrate that the proposed method is a promising technique as a tool for oil palm mapping, and it can be used to identify and mapping different oil palm plantations. Furthermore, an oil palm detection procedure was applied using the Circle Hough Transform (CHT) algorithm on the estimated abundances fractions. The detection results obtained with the proposed method, compared to a state-of-art alternative, show that the proposed approach is the most robust and provides better results. Finally, we encourage the scientific community in Colombia to conduct future research and develop applications using hyperspectral remote sensing, which will enable the adaptation and exploration of techniques to extract useful information from spectral data in Colombia or tropical countries.

# 5. ACKNOWLEDGMENTS

The authors wish to thank the Colombian company Quimbaya Aerial Services for providing airborne hyperspectral data acquisition and in situ information about the oil palm crop.

# 6. **REFERENCES**

- [1] "El sector palmero se ha consolidado en Colombia como un gremio responsable," in *El palmicultor*, 2019, pp. 6–7.
- [2] A. Drenth, G. A. Torres, and G. M. López, "Phytophthora palmivora, la causa de la Pudrición del cogollo en la palma de aceite," *Rev. Palmas*, vol. 34, no. 1, pp. 87–94, Jan. 2013.
- [3] L. F. Gómez, "Actualícese: todo lo que debe

saber acerca de la PC--Hoja clorótica en Zona Norte," *Fedepalma*, vol. 540, pp. 17–19, Feb. 2017.

- P. S. Thenkabail, I. Mariotto, M. K. Gumma, E. M. Middleton, D. R. Landis, and K. F. Huemmrich, "Selection of Hyperspectral Narrowbands (HNBs) and Composition of Hyperspectral Twoband Vegetation Indices (HVIs) for Biophysical Characterization and Discrimination of Crop Types Using Field Reflectance and Hyperion/EO-1 Data," *IEEE J. Sel. Top. Appl. Earth Obs. Remote Sens.*, vol. 6, no. 2, pp. 427–439, Apr. 2013. https://doi.org/10.1109/JSTARS.2013.225260 1.
- P. Ghamisi *et al.*, "Advances in Hyperspectral Image and Signal Processing: A Comprehensive Overview of the State of the Art," *IEEE Geosci. Remote Sens. Mag.*, vol. 5, no. 4, pp. 37–78, Dec. 2017. https://doi.org/10.1109/MGRS.2017.2762087.
- [6] M. Teke, H. S. Deveci, O. Haliloglu, S. Z. Gurbuz, and U. Sakarya, "A short survey of hyperspectral remote sensing applications in agriculture," in 2013 6th International Conference on Recent Advances in Space Technologies (RAST), 2013. pp. 171–176. https://doi.org/10.1109/RAST.2013.6581194.
- K. Jusoff and M. Pathan, "Mapping of Individual Oil Palm Trees Using Airborne Hyperspectral Sensing: An Overview," Appl. Phys. Res., vol. 1, no. 1, p. 15, Apr. 2009. https://doi.org/10.5539/apr.v1n1p15.
- [8] H. Z. M. Shafri, M. I. Anuar, I. A. Seman, and N. M. Noor, "Spectral discrimination of healthy and Ganoderma -infected oil palms from hyperspectral data," *Int. J. Remote Sens.*, vol. 32, no. 22, pp. 7111–7129, Nov. 2011. https://doi.org/10.1080/01421161.2010.51000

https://doi.org/10.1080/01431161.2010.51900 3.

- [9] M. A. Izzuddin, A. S. Idris, N. M. Nisfariza, and B. Ezzati, "Spectral based analysis of airborne hyperspectral remote sensing image for detection of ganoderma disease in oil palm," in *Proceedings of Conference on Biological and Environmental Science* (BIOES 2015), Phuket. 2015. pp. 13–20.
- [10] C. C. Lelong *et al.*, "Evaluation of Oil-Palm Fungal Disease Infestation with Canopy Hyperspectral Reflectance Data," *Sensors*, vol. 10, no. 1, pp. 734–747, Jan. 2010. https://doi.org/10.3390/s100100734.
- J. M. Bioucas-Dias et al., "Hyperspectral Unmixing Overview: Geometrical, Statistical, and Sparse Regression-Based Approaches," *IEEE J. Sel. Top. Appl. Earth* Obs. Remote Sens., vol. 5, no. 2, pp. 354–379, Apr. 2012. https://doi.org/10.1109/JSTARS.2012.219469

6.

B. Somers, G. P. Asner, L. Tits, and P. Coppin, "Endmember variability in Spectral Mixture Analysis: A review," *Remote Sens. Environ.*, vol. 115, no. 7, pp. 1603–1616, Jul. 2011.

https://doi.org/10.1016/j.rse.2011.03.003.

- [13] A. Zare and K. C. Ho, "Endmember Variability in Hyperspectral Analysis: Addressing Spectral Variability During Spectral Unmixing," *IEEE Signal Process. Mag.*, vol. 31, no. 1, pp. 95–104, Jan. 2014. https://doi.org/10.1109/MSP.2013.2279177.
- [14] A. Halimi, P. Honeine, and J. M. Bioucas-Dias, "Hyperspectral Unmixing in Presence of Endmember Variability, Nonlinearity, or Mismodeling Effects," *IEEE Trans. Image Process.*, vol. 25, no. 10, pp. 4565–4579, Oct. 2016.

https://doi.org/10.1109/TIP.2016.2590324.

- T. Uezato, R. J. Murphy, A. Melkumyan, and A. Chlingaryan, "A Novel Spectral Unmixing Method Incorporating Spectral Variability Within Endmember Classes," *IEEE Trans. Geosci. Remote Sens.*, vol. 54, no. 5, pp. 2812– 2831, May 2016. https://doi.org/10.1109/TGRS.2015.2506168.
- [16] P.-A. Thouvenin, N. Dobigeon, and J.-Y. Tourneret, "Hyperspectral Unmixing With Spectral Variability Using a Perturbed Linear Mixing Model," *IEEE Trans. Signal Process.*, vol. 64, no. 2, pp. 525–538, Jan. 2016. https://doi.org/10.1109/TSP.2015.2486746.

 [17] J. M. Bioucas-Dias and J. M. P. Nascimento, "Hyperspectral Subspace Identification," *IEEE Trans. Geosci. Remote Sens.*, vol. 46, no. 8, pp. 2435–2445, Aug. 2008. https://doi.org/10.1109/TGRS.2008.918089.

- [18] J. P. Kerekes and J. E. Baum, "Hyperspectral imaging system modeling," *Lincoln Lab. J.*, vol. 14, no. 1, pp. 117–130, Jan. 2003.
- [19] B. Rasti, M. O. Ulfarsson, and J. R. Sveinsson, "Hyperspectral Subspace Identification Using SURE," *IEEE Geosci. Remote Sens. Lett.*, vol. 12, no. 12, pp. 2481– 2485, Dec. 2015. https://doi.org/10.1109/LGRS.2015.2485999.
- [20] M.-D. Iordache, J. M. Bioucas-Dias, and A. Plaza, "Sparse Unmixing of Hyperspectral Data," *IEEE Trans. Geosci. Remote Sens.*, vol. 49, no. 6, pp. 2014–2039, Jun. 2011. https://doi.org/10.1109/TGRS.2010.2098413.
- J. M. P. Nascimento and J. M. B. Dias, "Vertex component analysis: a fast algorithm to unmix hyperspectral data," *IEEE Trans. Geosci. Remote Sens.*, vol. 43, no. 4, pp. 898– 910, Apr. 2005. https://doi.org/10.1109/TGRS.2005.844293.

- [22] J. M. Bioucas-Dias, "A variable splitting augmented Lagrangian approach to linear spectral unmixing," in 2009 First Workshop on Hyperspectral Image and Signal Processing: Evolution in Remote Sensing, 2009. pp. 1–4. https://doi.org/10.1109/WHISPERS.2009.528 9072.
- J. M. Bioucas-Dias and M. A. T. Figueiredo, "Alternating direction algorithms for constrained sparse regression: Application to hyperspectral unmixing," in 2010 2nd Workshop on Hyperspectral Image and Signal Processing: Evolution in Remote Sensing, 2010. vol. 1. https://doi.org/10.1109/WHISPERS.2010.559 4963.
- [24] M.-D. Iordache, J. M. Bioucas-Dias, and A.

Plaza, "Total Variation Spatial Regularization for Sparse Hyperspectral Unmixing," *IEEE Trans. Geosci. Remote Sens.*, vol. 50, no. 11, pp. 4484–4502, Nov. 2012.

https://doi.org/10.1109/TGRS.2012.2191590.

- [25] C.-I. Chang and Q. Du, "Estimation of Number of Spectrally Distinct Signal Sources in Hyperspectral Imagery," *IEEE Trans. Geosci. Remote Sens.*, vol. 42, no. 3, pp. 608–619, Mar. 2004. https://doi.org/10.1109/TGRS.2003.819189.
- [26] S. J. K. Pedersen, "Circular hough transform," Aalborg Univ. Vision, Graph. Interact. Syst., vol. 123, no. 6, Nov. 2007.